Enhanced critical temperature, pairing fluctuation effects, and BCS-BEC crossover in a two-band Fermi gas

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We study the superfluid critical temperature in a two-band attractive Fermi system with strong pairing fluctuations associated with both interband and intraband couplings. We focus specifically on a configuration where the intraband coupling is varied from weak to strong in a shallow band coupled to a weakly-interacting deeper band. The whole crossover from the Bardeen-Cooper-Schrieffer (BCS) condensation of largely overlapping Cooper pairs to the Bose-Einstein condensation (BEC) of tightly bound molecules is covered by our analysis, which is based on the extension of the Nozières-Schmitt-Rink (NSR) approach to a two-band system. In comparison with the single-band case, we find a strong enhancement of the critical temperature, a significant reduction of the preformed pair region where pseudogap effects are expected, and the entanglement of two kinds of composite bosons in the strong-coupling BEC regime.

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Introduction – After the realization of the BCS-BEC crossover phenomenon in ultracold Fermi gases¹⁻³, which provided a unified understanding of both weak coupling BCS Fermi superfluidity and Bose-Einstein condensation of molecular bosons⁴⁻¹², multi-condensates can be regarded as the next paradigmatic systems to be explored. Owing to the emergence of additional degrees of freedom of the order parameter, these multi-condensate systems can lead to a plethora of novel quantum phenomena. While even the single-component fermionic condensate has bridged various research fields such as nuclear $physics^{12-19}$ and several solid-state systems^{4,7,8,20-31}, the more generic concept of multi-component BCS-BEC crossover not only builds up an interdisciplinary crosslink among strongly correlated systems but also opens a new frontier to explore the optimal configuration for high- T_c superconductivity^{32,33}.

Among the variety of unconventional superconductors recently discovered, iron-based superconducting compounds are of particular interest due to their multiband electron structure with interband couplings producing complex order parameter symmetry and multiple energy gaps. This gives new opportunities to observe experimentally the BCS-BEC crossover in a new class of superconducting materials^{26–29,31,34–36}. Nanostructured superconductors are another promising class of materials in which the multiband BCS-BEC crossover, in the presence of shape resonance effects, can play a key role in the control and enhancement of superconductivity^{37,38}. A multi-band structure with a small Fermi surface pocket has an important role to achieve such strongly correlated crossover regime in all of these electron systems $^{39-42}$. Some two-band theoretical models predict that so-called incipient bands may play an important role in several superconducting iron-based materials like FeSe intercalates and monolayers, considering them as quasi-2D systems^{44,45}. On the other hand, recent experiments indicate the presence of a 3D momentum dependence of the gap in FeSe multiband superconductors, indicating that a 3D theoretical approach may be applied for the description of the superconducting state in this compound^{46,47}.

A two-band Fermi system with Josephson-like interband coupling⁴³ is also under current experimental investigation in ultracold ¹⁷³Yb atomic Fermi gases near an orbital Feshbach resonance^{48–50}. By applying a magnetic field, the energy separation between different atomatom scattering channels (corresponding to that between two bands in the associated model Hamiltonian) can be arbitrarily tuned, and the emergence of the BCS-BEC crossover has been theoretically predicted^{51–56}. In this case, however, the situation is complicated by the presence of additional deep molecular bound states, on top of the shallow one which is responsible for the orbital Feshbach resonance^{53,56}, which make the resulting model less relevant for the physics of multiband superconductors.

In this paper, we address the effects of strong pairing fluctuations on the superfluid critical temperature for a two-band system with varying intra- and interband couplings. We focus in particular on the physically relevant configuration with a shallow "hot" band (in which the intraband coupling is varied from weak to strong) coupled with a deep "cold" band (with weak intraband coupling). For increasing hot-band coupling, we reveal a strong amplification of the critical temperature in comparison with the single-band case, with the interband coupling assisting such amplification, but not being crucial for its occurrence. In addition, in the intermediate (crossover) region between the BCS and BEC limits, the comparison between the critical temperature and the pair-breaking temperature shows a sig-

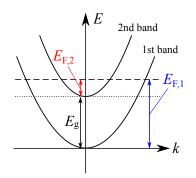


FIG. 1: The band structure considered in this work. The vertical and horizontal axes are the single-particle energy and momentum, respectively; E_g is the energy shift between the 1st (i = 1) and the 2nd (i = 2) band. $E_{\mathrm{F},i}$ indicates the Fermi energy of *i*-band fermions in the absence of interactions.

nificant shrinking of the preformed-pair region, implying a possible reduction of the pseudogap effects, in lines with recent experimental findings for the FeSe multiband superconductors⁵⁷. Finally, in the BEC regime with finite interband coupling, an interesting coherently coupled binary mixture of composite bosons is found.

Model – For the sake of generality, we consider the following minimal model Hamiltonian^{58,59} for a three-dimensional two-band Fermi system

$$H = \sum_{\boldsymbol{k},\sigma,i} \xi_{\boldsymbol{k},i} c^{\dagger}_{\boldsymbol{k},\sigma,i} c_{\boldsymbol{k},\sigma,i} + \sum_{i,j} U_{ij} \sum_{\boldsymbol{q}} b^{\dagger}_{\boldsymbol{q},i} b_{\boldsymbol{q},j}.$$
 (1)

Here, $c_{k,\sigma,i}$ is the annihilation operator of a fermion with spin $\sigma = \uparrow, \downarrow$ and band index $i = 1, 2, b_{q,i}^{\dagger} =$ $\sum_{k}^{k_0} c^{\dagger}_{k+q/2,\uparrow,i} c^{\dagger}_{-k+q/2,\downarrow,i}$ is the pair-creation operator in the *i*-band (where k_0 is a momentum cutoff), while $\xi_{k,1} = k^2/2m - \mu, \ \xi_{k,2} = k^2/2m + E_{\rm g} - \mu$ are the kinetic energies measured from the chemical potential μ where E_{g} is the energy shift between the two bands, and m is the particle (effective) mass (which is assumed to be identical in the two bands). We also introduce the band Fermi momenta $k_{\mathrm{F},i} = (3\pi^2 n_i^0)^{1/3}$, defined in terms of the band densities n_1^0 and n_2^0 in the absence of any interactions and at zero temperature, with the corresponding Fermi energies $E_{\mathrm{F},i} = k_{\mathrm{F},i}^2/2m$ and temperatures $T_{\mathrm{F},i}$, associated with these energies. In addition to that we will use the total Fermi momentum $k_{\rm F,t} = (3\pi^2 n)^{1/3}$ defined by the total number density n, which is kept fixed. We set $k_{\rm B}, \hbar$, and the system volume V equal to one. Fig. 1 summarizes graphically our two-band configuration.

We express the intraband coupling $U_{ii}(<0)$ in terms of the intraband scattering length $a_{ii}^{58,59}$:

$$\frac{m}{4\pi a_{ii}} = \frac{1}{U_{ii}} + \sum_{k}^{k_0} \frac{m}{k^2}.$$
 (2)

The momentum cutoff k_0 is considered to be much larger than the average interparticle distance, corresponding

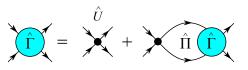


FIG. 2: Diagrammatic representation of the many-body Tmatrix $\hat{\Gamma}$. \hat{U} and $\hat{\Pi}$ are the 2×2 matrices of coupling constants and pair susceptibilities, respectively.

to a short-range condition on the interaction. Specifically, we take $k_0 = 100k_{\rm F,t}$. We choose the relatively large energy shift $E_{\rm g} = 0.75E_{\rm F,1} = 3E_{\rm F,2}$, which implies $k_{\rm F,1} = (8/9)^{1/3} k_{\rm F,t}$ and $k_{\rm F,2} = (1/9)^{1/3} k_{\rm F,t}$. We focus on the situation in which the shallow hot band (i = 2) undergoes the BCS-BEC crossover, whereas the intraband coupling in the deep cold band (i = 1) remains weak. In our configuration, this is achieved by taking $U_{22} = 1.1U_{11}$, which gives $(k_{\rm F,1}a_{11})^{-1}$ ranging between $\simeq -8$ and $\simeq -4$ when we change $(k_{\rm F,2}a_{22})^{-1}$ from the weak coupling (BCS) to the strong-coupling (BEC) regime. We recall that for a single-band system the BCS-BEC crossover is driven by the dimensionless parameter $(k_{\rm F}a)^{-1}$, which ranges from $(k_{\rm F}a)^{-1} \lesssim -1$ in the weak-coupling (BCS) regime to $(k_{\rm F}a)^{-1} \gtrsim 1$ in the strong-coupling (BEC) regime.

The interband couplings are equal and real (guaranteeing the hermiticity of the Hamiltonian). For convenience, we introduce the dimensionless interband coupling \tilde{U}_{12} by setting $U_{12} = \tilde{U}_{12}(k_{\rm F,t}/k_0)^2 E_{\rm F,t}/n$, with $E_{\rm F,t} = k_{\rm F,t}^2/2m$. In this way, when \tilde{U}_{12} ranges from 0 to 5, the effects of the interband coupling on the quantities of interest will turn to vary from weak to strong.

Formalism and results – The NSR formalism has been widely used for studying the BCS-BEC crossover in a single-band system. Ref. 59 generalized the formalism to the two-band case, but only at the formal level. Here, we present an explicit numerical solution of the associated equations, and study the effect of pairing fluctuation at finite temperature in a two-band system across the whole BCS-BEC crossover.

Let us first briefly summarize the main equations of the NSR formalism for two-band systems. The sum of ladder diagrams defines the many-body *T*-matrix $\hat{\Gamma}$, as represented in Fig. 2, which satisfies

$$\hat{\Gamma}(\boldsymbol{q}, i\nu_l) = [1 + \hat{U}\hat{\Pi}(\boldsymbol{q}, i\nu_l)]^{-1}\hat{U}, \qquad (3)$$

where \hat{U} is the 2 × 2 matrix constructed with the interaction parameters U_{ij} , and

$$\hat{\Pi}(\boldsymbol{q}, i\nu_l) = \begin{pmatrix} \Pi_{11}(\boldsymbol{q}, i\nu_l) & 0\\ 0 & \Pi_{22}(\boldsymbol{q}, i\nu_l) \end{pmatrix}, \quad (4)$$

where $\nu_l = 2l\pi T$ (*l* integer) is a boson Matsubara frequency at temperature *T* and

$$\Pi_{ii}(\boldsymbol{q}, i\nu_l) = T \sum_{\boldsymbol{k}, i\omega_s}^{k_0} G_i^0(\boldsymbol{q} - \boldsymbol{k}, i\nu_l - i\omega_s) G_i^0(\boldsymbol{k}, i\omega_s).$$
(5)

Here $G_i^0(\mathbf{k}, i\omega_s) = 1/(i\omega_s - \xi_{\mathbf{k},i})$ is the bare Green's function of a *i*-band particle and $\omega_s = (2s+1)\pi T$ (s integer) is a fermion Matsubara frequency.

The critical temperature T_c is determined by the Thouless criterion⁶⁰, namely the divergence of $\hat{\Gamma}(0,0)$, corresponding to the condition

det
$$\left[1 + \hat{U}\hat{\Pi}(\boldsymbol{q} = 0, i\nu_l = 0)\right] = 0.$$
 (6)

This equation needs to be solved together with the particle number equation $n = -\partial \Omega / \partial \mu$, where Ω is obtained by adding the thermodynamic potential constructed from the ladder diagrams to the free one^{6,59}. It is easy to show that in the present two-band case, $-\partial \Omega / \partial \mu$ can be expressed as the sum $n_1 + n_2$ of the densities in the two bands, with

$$n_{i} = 2 \left[\sum_{\boldsymbol{k}} f(\xi_{\boldsymbol{k},i}) + T \sum_{\boldsymbol{k},i\omega_{s}}^{k_{0}} G_{i}^{0}(\boldsymbol{k},i\omega_{s})^{2} \Sigma_{i}(\boldsymbol{k},i\omega_{s}) \right],$$
(7)

where f is the Fermi function at temperature T and we have introduced the self-energy

$$\Sigma_i(\boldsymbol{k}, i\omega_s) = T \sum_{\boldsymbol{q}, i\nu_l} \Gamma_{ii}(\boldsymbol{q}, i\nu_l) G_i^0(\boldsymbol{q} - \boldsymbol{k}, i\nu_l - i\omega_s) \quad (8)$$

for fermions in the band *i*. At fixed n, T, and interaction parameters, the inversion of the number equation $n = n_1(\mu) + n_2(\mu)$ determines the chemical potential μ .

Figure 3 shows the overall critical temperature $T_{\rm c}$ and the corresponding chemical potential $\mu - E_{\rm g} \equiv \mu_2$ measured from the bottom of the hot band as a function of $(k_{\rm F,2}a_{22})^{-1}$. We compare the results of the two-band system with the case in which the hot band is considered as a single band, with density fixed to n_2^0 (for our choice of $E_{\mathrm{F},i}, n_2^0 = n/9$). Note that the single-band case differs from simply setting $U_{12} = 0$ in the two-band system, for which a particle transfer between the two bands is possible. One sees indeed that for vanishing interband interaction, while in the weak-coupling (BCS) regime T_c and μ_2 essentially coincide with the corresponding single-band results, in the intermediate and strong coupling regions, $T_{\rm c}$ is greatly enhanced in comparison with the single-band case. In the two-band system, the pairing attraction in the hot band drains particles from the cold band to lower the overall free energy. The critical temperature is then enhanced, until in the strong-coupling limit the asymptotic value $T_{\text{BEC}}^{2\text{b}} = 0.218 \left(k_{\text{F},\text{t}} / k_{\text{F},2} \right)^2 T_{\text{F},2} = 0.943 T_{\text{F},2}$ is reached, corresponding to the condensation temperature for a gas of non-interacting bosons of density n/2and mass 2m. In this limit, μ_2 coincides with $-E_{\rm b}/2$, where $E_{\rm b}$ is the two-body binding energy, which for the decoupled system is given by $E_{\rm b} = (ma_{22}^2)^{-1}$.

Even more interesting is the situation with a finite interband coupling. In this case the BEC limit can be effectively reached even for rather weak values of the intraband coupling $(k_{\rm F,2}a_{22})^{-1}$. This behavior can be understood by noting that the Thouless criterion (6) can be

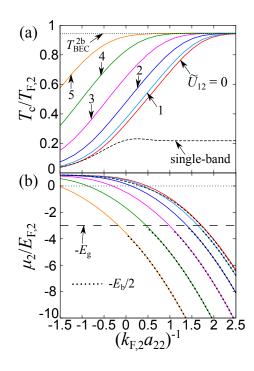


FIG. 3: (a) Critical temperature T_c and (b) chemical potential $\mu_2 \equiv \mu - E_g$ at T_c vs. $(k_{F,2}a_{22})^{-1}$ at different interband couplings \tilde{U}_{12} (we use the same line-styles in both panels). The constant lines $T_{BEC}^{2b} = 0.943T_{F,2}$ and $-E_g$, as well as the curves $-E_b/2$, with the two-body binding energy E_b obtained from Eq. (10) at different \tilde{U}_{12} are also reported for reference.

rewritten as $1 + U_{\text{eff}} \Pi_{22}(0, 0) = 0$, where

$$U_{\rm eff} = U_{22} - U_{12}^2 \Pi_{11}(0,0) / [1 + U_{11} \Pi_{11}(0,0)], \quad (9)$$

is the effective interaction determining T_c . It is the second term in Eq. (9) which leads to a significant increase of the effective pairing interaction when U_{12} increases. It can be shown in particular that in our configuration this term effectively corresponds to a shift $\simeq -0.5k_{\rm F,1}a_{11}\tilde{U}_{12}^2$ of the dimensionless coupling $(k_{\rm F,2}a_{22})^{-1}$ toward stronger couplings. The effect of the interband coupling can also be seen at the two-body level on the binding energy $E_{\rm b}$, which for the coupled system can be obtained from the equation determining the pole of the two-body T-operator on the real energy axis

$$\left(1 + U_{11}\Pi_{11}^{0}\right) \left(1 + U_{22}\Pi_{22}^{0}\right) - U_{12}^{2}\Pi_{11}^{0}\Pi_{22}^{0} = 0, \quad (10)$$

where the vacuum particle-particle bubbles Π_{ii}^0 are obtained from $\Pi_{ii}(\mathbf{q}, i\nu_l)$ by setting $\mathbf{q} = 0, i\nu_l \rightarrow -(E_{\rm b} - 2E_{\rm g}) - 2\mu$ and then taking the vacuum limit $\mu/T \rightarrow -\infty$. One then gets from Eq. (5)

$$\Pi_{ii}^{0} = \frac{mk_0}{2\pi^2} \left[1 - \frac{\sqrt{m|E_i|}}{k_0} \arctan\left(\frac{k_0}{\sqrt{m|E_i|}}\right) \right], \quad (11)$$

where we have defined $E_i \equiv -E_b + 2E_g \delta_{i,1}$. Note that the binding energy E_b is referred to the bottom of the

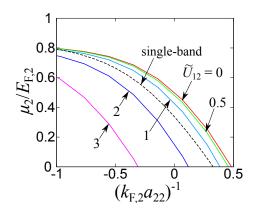


FIG. 4: Chemical potential $\mu_2 \equiv \mu - E_g \text{ vs. } (k_{\text{F},2}a_{22})^{-1}$ at $T = T_c$ near the unitarity limit $(k_{\text{F},2}a_{22})^{-1} = 0$.

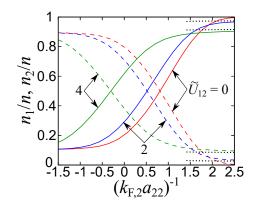


FIG. 5: Number densities n_i (in units of n) in the lower (i = 1, dashed line) and upper (i = 2, solid line) bands at T_c vs. $(k_{\mathrm{F},2}a_{22})^{-1}$ for different values of \tilde{U}_{12} . The dotted lines correspond to the ratios $||\psi_i||^2/(||\psi_1||^2 + ||\psi_2||^2)$ in the twobody problem (see text) for i = 1, $\tilde{U}_{12} = 2$, 4 and i = 2, $\tilde{U}_{12} = 4$, 2, from bottom to top.

upper band, and $E_{\rm b} > 2E_{\rm g}$ for $U_{12} \neq 0$. One sees in Fig. 3(b) that when \tilde{U}_{12} increases, the binding energy $E_{\rm b}$ also increases, and μ_2 approaches the BEC limit $-E_{\rm b}/2$ at progressively weaker intraband couplings $(k_{\rm F,2}a_{22})^{-1}$.

Figure 4 focuses on the behavior of μ_2 near the unitarity limit $(k_{\rm F,2}a_{22})^{-1} = 0$. One can see that in the absence or for weak interband coupling, the chemical potential for the two-band system is larger than for a single-band, such that the crossing of the bottom of the upper band is shifted to larger values of $(k_{\rm F,2}a_{22})^{-1} = 0$. Physically, this is explained by the Pauli-blocking effect due to the occupied states in the cold band which acts to retard the "bosonization" of the Cooper pairs. However, when the interband coupling increases, it overcomes this quantum statistical effect and eventually shifts the bosonization to smaller intraband coupling values.

Figure 5 shows how the fermions distribute between the two bands when the coupling $(k_{\rm F,2}a_{22})^{-1}$ is varied. For different values of the interband coupling \tilde{U}_{12} , one ob-

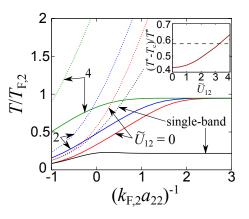


FIG. 6: Critical temperature T_c (solid lines) and pairbreaking temperature T^* (dotted lines) as estimated by the mean-field critical temperature, vs. $(k_{\rm F,2}a_{22})^{-1}$ for different values of \tilde{U}_{12} . The inset shows the ratio $(T^* - T_c)/T^*$ near unitarity $[(k_{\rm F,2}a_{22})^{-1} = 0.07]$ as a function of \tilde{U}_{12} . The corresponding value for the single-band case is also reported (dashed line).

serves in general a progressive transfer of particles from the cold band to the hot one as $(k_{\mathrm{F},2}a_{22})^{-1}$ increases. However, while in the BEC limit one has a full transfer for $U_{12} = 0$, at finite interband coupling the transfer is only partial. This behavior can be understood by solving the two-body bound-state equation $H_{\rm rel}\Psi = E\Psi$ for the relative motion wave-function $\Psi(r) = (\psi_1(r), \psi_2(r)),$ where ψ_1 and ψ_2 are the components in the two bands, $H_{\rm rel}$ is the two-body relative motion Hamiltonian associated with the many-body Hamiltonian (1), and r is the relative distance. In this way one finds that when $U_{12} \neq 0$ the bound-state solution has components in both bands, and the asymptotic value of n_i/n in the BEC limit of the many-body problem coincides with the ratio $||\psi_i||^2/(||\psi_1||^2+||\psi_2||^2)$ in the two-body problem (dotted lines in Fig. 5). Here, $||\psi_i||^2 = \int d^3r |\psi_i(r)|^2$; note that the condition $E_{\rm b} > 2E_{\rm g}$ is required to have $||\psi_1|| < \infty$.

In this extreme BEC regime, the bosons condensing at T_c are a coherent superposition of two molecular states in the two bands, with wave functions $\psi_i(r) \propto e^{-\sqrt{m|E_i|}r}/r$ and sizes $R_1 = 1/\sqrt{m|2E_{\rm g} - E_{\rm b}|}$ and $R_2 = 1/\sqrt{m|E_{\rm b}|}$. In the less extreme regime whereby the chemical potential μ_2 is between the bottom of the two bands, the twobody bound state is effectively replaced by a quasi-bound state, i.e., a resonance whose energy $E_{\rm r}$ essentially determines the value of the chemical potential $(\mu_2 \simeq E_r/2)$. The energy $E_{\rm r}$ corresponds to a peak (narrow for small U_{12}) of the two-body T-matrix, while only the solution of the many-body problem yields the relative distribution of particles between the two bands. Interestingly, unusual vortex configurations with non-triangular geometry, stripes, or multi-quantum-vortex lattices are expected to occur in two-band superconductors with nonequal pair sizes 41,61 and two-species BEC 62,63 .

In Fig. 6 the preformed pair region of the phasediagram between the mean field temperature T^* and the superfluid critical temperature T_c is investigated for different interband coupling \tilde{U}_{12} . This region is of particular interest because below T^* , pseudogap phenomena and molecular-like pairing are expected to appear, with detectable signatures in the single-particle excitation spectra, depending on the intraband coupling strength 64,65 . Also, the amount of pair fluctuations in this region is responsible for the detrimental suppression of the superfluid critical temperature. The pairing temperature T^* is strongly enhanced by increasing \tilde{U}_{12} . It is already larger than the corresponding temperature for the single-band case for vanishing interband coupling, because of the larger chemical potential, see Fig. 4. On the other hand, for intraband couplings in the hot band close to unitarity and in a sizable range of $\tilde{U}_{12} \lesssim 3$, the preformed pair region is reduced with respect to the single-band case, as quantified by the temperature window $(T^* - T_c)/T^*$, reported in the inset of Fig. 6. This can be connected with

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the recent experiment in the multiband FeSe superconductor where BCS-BEC crossover signatures have been confirmed while a pseudogap was not detected⁵⁷.

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