

# Head and Neck Pathology

## Proteomic investigation of malignant major salivary gland tumors

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## **Proteomic investigation of malignant major salivary gland tumors**

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**Running title:** Major Salivary Gland Cancer and Proteomics

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## **Abstract**

**Background:** The purpose of this study was to define the proteome profile of fine needle aspiration (FNA) samples of malignant major salivary gland tumors (MSGT) compared to benign counterparts, and to evaluate potential clinical correlations and future applications.

**Methods:** Patients affected by MSGT (n=20), pleomorphic adenoma (PA) (n=37) and Warthin's tumor (WT) (n=14) were enrolled. Demographic, clinical and histopathological data were registered for all patients. FNA samples were processed to obtain the protein extracts. Protein separation was obtained by two-dimensional electrophoresis (2-DE) and proteins were identified by mass spectrometry. Western blot analysis was performed to validate the 2-DE results. Statistical differences

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between groups were calculated by the Mann-Whitney U test for non-normal data. Spearman’s rank correlation coefficient was calculated to evaluate correlations among suggested protein biomarkers and clinical parameters.

**Results:** Twelve and 27 differentially expressed spots were found for MSGT vs PA and MT vs WT, respectively. Among these, annexin-5, cofilin-1, peptidyl-prolyl-cis-trans-isomerase-A and F-actin-capping-alpha-1 were able to differentiate MSGT from PA, WT, and healthy samples. Moreover, STRING analysis suggested cofilin-1 as a key node of protein interactions. Some of the overexpressed proteins are related to some clinical factors of our cohort, such as survival and outcome.

**Conclusions:** Our results suggest potential protein biomarkers of MSGT, which could allow for more appropriate treatment plans, as well as shedding light on the molecular pathways involved.

**Keywords**

Major salivary glands; proteomics; parotid cancer; two-dimensional electrophoresis (2-DE); biomarkers.

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**Introduction**

Salivary gland tumors (SGTs) are rare and heterogeneous, with the World Health Organization (WHO) histological classification describing 31 subtypes, 11 benign and 20 malignant, which constitute about 0.3% of all human tumors and 1-7% of all head and neck tumors. [1] Both the rarity and heterogeneity of these salivary gland neoplasms make their diagnosis problematic.

Fine needle aspiration (FNA) cytology is widely used as a first-line technique for the diagnosis of salivary gland pathologies. [2] It is a painless, safe and simple procedure primarily used to distinguish between benign and malignant pathologies of both salivary and non-salivary tissues. Nevertheless it has not gained universal acceptance and many studies have highlighted its limitations, including a high rate of false-negative results and it has a poor accuracy in distinguishing between the various types of SGTs. For example, the primarily benign pleomorphic adenoma (PA) may be confused cytologically with either adenoid cystic carcinoma (ACC) or mucoepidermoid carcinoma (MEC) due to a considerable overlap in their morphologies [3,4], and squamous cell carcinoma (SCC) and MEC can be misdiagnosed with the benign Warthin’s tumor (WT) due to the presence of squamous elements in each. [5]

In clinical decision-making, it is important to determine whether a salivary gland swelling is due to a reactive process or a neoplasm, and whether the neoplasm is benign or malignant. Non-neoplastic lesions are managed conservatively while neoplastic are usually managed surgically, with the benign or malignant nature of the neoplasm determining the extent of surgical dissection. A more reliable diagnostic tool would help distinguish SGTs preoperatively, thus allowing a more appropriate treatment plan for such neoplasms.

In recent years, the study of molecular biomarkers has evolved across many research fields, with the proteomic analysis of a whole range of different biological fluids revealing significant differences in numerous protein concentrations between normal and affected subjects. This has opened the door to the identification of a vast array of novel prognostic, diagnostic, and therapeutic biomarkers. Relevantly, proteomic analysis has been successfully used to determine the proteomic profile of human thyroid FNA biopsies, [6] and successively to identify biomarkers of thyroid cancer. [7] In a previous experiment [8] we performed a comparative proteomic analysis of the FNA fluids of the most frequent benign neoplasms of the major salivary glands, namely PA and WT, and identified a total of 26 differentially expressed proteins.

In this current paper, using a combination of two-dimensional electrophoresis (2-DE) and mass spectrometry (MS), the proteomic profiles of FNA samples of malignant SGTs (MSGT), PA and WT were compared. The identified differentially expressed proteins were validated by Western Blot (WB) analysis from both FNA samples and formalin fixed paraffin

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4 embedded (FFPE) tissue. To our knowledge, this is the first study that analyses the proteomic profile of FNA fluids of  
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6 MSGT and our findings, which describe the molecular signature of MSGT in comparison to that of PA and WT, will be  
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8 useful in improving the preoperative diagnosis of all SGTs.  
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## 10 11 **Materials and Methods**

12 Iodoacetamide, dithiothreitol (DTT), 3-[(3-cholamidopropyl)dimethylammonio]-1-propanesulfonate (CHAPS), urea,  
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14 thiourea, glycerol, sodium dodecyl sulfate (SDS), tetramethylethylenediamine (TEMED), ammonium persulfate (APS),  
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16 glycine and 30% acrylamide-N,N,N bisacrylamide were acquired from Applichem (Germany). IPGs pH 3–10 NL, IPG-  
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18 buffer 3–10NL and dry stripcover fluid were purchased from GE Health Care Europe (Uppsala, Sweden). Enhanced  
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20 chemiluminescence (ECL) detection system was purchased from PerkinElmer (MA, USA). Anti-cofilin-1 (CFL1), anti-  
21  
22 annexin A1 (ANXA1), anti-annexin A5 (ANXA5), anti-peptidyl-prolyl-cis-trans isomerase A (PPIA), anti-alpha-crystallin  
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24 B chain (CRYAB) and anti-superoxide dismutase 1 (SOD1) antibodies were purchased from Cell Signaling Technology,  
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26 Inc. (MA, USA). Anti-F-actin-capping protein subunit alpha-1 (CAPZA-1) and -F-actin-capping protein subunit beta  
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28 (CAPZ-B) antibodies were purchased from Thermo-Fisher Scientific Inc. (MA, USA). Anti-macrophage-capping protein  
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30 (CAPG) antibody was acquired from Novus Biological (CO, USA) while anti-immunoglobulin heavy chain constant  
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32 gamma 1 (IGHG1) antibody was purchased from Abnova (Taiwan). A donkey anti-rabbit secondary antibody horseradish  
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34 peroxidase (HRP)-conjugated was purchased from Stressgen (Belgium) while a donkey anti-goat secondary antibody  
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36 horseradish peroxidase (HRP)-conjugated was acquired from Santa-Cruz Biotechnology (TX, USA). All other reagents  
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38 were purchased from standard commercial sources and were of the highest grade available.  
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## 43 **Study design**

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45 The flow-chart of this study, illustrated in Fig. 1, included three main phases: collection, discovery and validation phases.  
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47 First of all collection includes FNA and FFPE samples from benign and malignant SGTs. In the second phase, applied to  
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49 FNA, the samples were pooled in groups based on the diagnosis, and analyzed by 2-DE coupled with mass spectrometry. A  
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51 list of proteins differentially expressed has been selected and in the last phase has been validated by WB analysis in  
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53 individual patient samples. For some of these proteins validation was confirmed by WB applied to FFPE protein extracts.  
54  
55 Finally, we examined the statistical correlation of these selected proteins with patients' clinical features.  
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## 57 **Patients**

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59 Twenty consecutive patients (8 men and 12 women, average age 62.9 years old, range 26-84 years), affected by-MSGT  
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4 between 2010 and 2015, were included in the study. All patients were pre-operatively staged by means of an ultrasound  
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6 scan with FNA cytology (FNAC), head and neck Magnetic Resonance Imaging (MRI) with contrast media enhancement for  
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8 the evaluation of the loco-regional spread of the tumor, and whole-body positron emission tomography/computed  
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10 tomography with the glucose analog 2-[F]fluoro-2-deoxy-D-glucose (FDG-PET/CT) to detect potential distant metastases.

11  
12 On the basis of the pre-operative staging, all patients underwent surgical resection (total parotidectomy with/without facial  
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14 nerve sacrifice and in the case of submandibular malignancy, complete submandibular sialoadenectomy) associated with  
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16 selective neck dissection in 4 cases (20%). In selected cases, presented in further detail below, patients also underwent  
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18 postoperative adjuvant radiotherapy and eventual chemotherapy. Diagnostic results were obtained from pathology reports  
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20 that accompanied each specimen, and were confirmed after histological examination. The definitive pathological TNM  
21  
22 (pTNM) of the patients is shown in Table 1.

23  
24 The most common subtypes were mucoepidermoid carcinoma and adenoid cystic carcinoma in 7 (35%) and 4 (20%) cases,  
25  
26 respectively. The histopathological classification was performed in accordance with the 2005 WHO classification.[1] The  
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28 most affected site was the parotid gland, with 16 cases (80%). A curative resection (R0) was obtained in 9 cases (45%).  
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30 Multifocal lesions were found in 1 patient (5%), a high-grade tumor in 10 (50%) and tumor-positive lymph nodes were  
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32 detected in 4 cases (20%). According to histology, grade, and staging, 10 patients (50%) underwent adjuvant radiotherapy  
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34 and 1 patient (5%) chemoradiotherapy. After a mean follow-up of  $60 \pm 22.5$  months, 11 patients (55%) had no evidence of  
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36 disease (NED), 1 patient (5%) was alive with disease, 6 patients (30%) died of disease and 1 patient (5%) died without  
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38 disease; only one patient was lost at follow-up. The clinical profile, tumor classification, and staging are summarized in  
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40 Table 1 and 2. For comparison, fine needle aspiration cytology was performed on 37 PA and 14 WT patients. Demographics  
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42 and clinicopathologic features of these patients are resumed in Table 3.

#### 43 44 **Ethics Statement**

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46 All patients gave their informed consent for proteomic studies and signed consent forms. This study was approved by the  
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48 Local Ethics Committee (Comitato Etico Area Vasta Nord Ovest - CEAVNO, Azienda Ospedaliera Universitaria Pisana;  
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50 reference number 29937).

#### 51 52 **Sample preparation and 2-DE**

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54 Immediately after the surgical removal of the salivary gland, FNA was made on the nodule as previously described.[7,8]  
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56 Briefly, after passing the needle through the tumor tissue 3 or 4 times, 1 mL of saline solution was aspirated with the same  
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4 syringe. The same procedure was performed on the adjacent healthy tissue. This fluid was immediately centrifuged at 2300  
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6 g for 10 min at 4°C and the supernatants were stored at -80°C until use.

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8 FNA proteins were precipitated using trichloroacetic acid following the protocol described by Donadio et al.[8] Protein  
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10 pellets were resuspended in a rehydration solution and protein concentrations were measured with a RC-DC Protein Assay  
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12 from Bio-Rad. Samples from PA, WT and MSGT patients, as determined by histological examination, were combined to  
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14 form three different pools for 2-DE analysis, respectively. Isoelectrofocusing (IEF) was carried out by using 18 cm  
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16 Immobiline Dry-Strips with a nonlinear, pH 3–10, gradient. Two-hundred micrograms of protein in 400 µL of rehydration  
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18 buffer supplemented with 1.2% v/v IPG Buffer pH 3–10 NL. IEF was performed at 16°C on an Ettan IPGphor II apparatus  
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20 (GE HealthCare Europe, Uppsala, Sweden) according to the previously described protocol.[9] After IEF, the strips were  
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22 equilibrated as described and SDS-PAGE was performed using the PROTEAN-II Multi Cell system (Bio-Rad, CA, USA)  
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24 [9]. Gels were run in triplicate.

### 25 26 **Staining and image analysis**

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28 The gels were stained with Ruthenium II tris (bathophenanthroline disulfonate) tetrasodium salt (SunaTech Inc) according  
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30 to Aude-Garcia et al.[10] with minor modifications.[11,12] Briefly, after electrophoresis, gels were fixed for 1 hr in 1%  
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32 phosphoric acid (v/v, starting from commercial 85% phosphoric acid) and 30% ethanol. Then gels were stained overnight  
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34 with 1 µM ruthenium complex (RuBP) in 1% phosphoric acid and 30% ethanol, subsequently destained for 4–6 hrs in 1%  
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36 phosphoric acid and 30% ethanol and then rinsed in water (one rinse, 10 min) prior to imaging. The stained gels were  
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38 fluorescently visualized on a ImageQuant LAS4010 imaging system (GE-Healthcare Europe, Sweden) and the images were  
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40 analyzed with SameSpot software (TotalLab, UK) as previously described.[12] The protein spots with a  $\geq 2$ -fold spot  
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42 quantity change,  $p < 0.05$  and  $q$  value  $< 0.05$ , were selected and identified.

### 43 44 **NanoLC-ESI-MS/MS analysis by LTQ-Orbitrap Velos Analysis**

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46 Spots of interest were cut out from the reference gel and nano liquid chromatography-electrospray ionization-tandem mass  
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48 spectrometry (LC-ESI-MS/MS) analysis using a LTQ-Orbitrap Velos mass spectrometer was performed as previously  
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50 described.[12,13] Peak lists were generated from raw orbitrap data using the embedded software from the instrument vendor  
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52 (extract\_MSN.exe). The monoisotopic masses of the selected precursor ions were corrected using an in-house written Perl  
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54 script.  
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57 The peak list files were searched against the SwissProt/tremble database using Mascot (Matrix Sciences, London, UK), with  
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59 human taxonomy specified. The parent ion tolerance was set to 10 ppm. The oxidation of methionine was specified in  
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4 Mascot as a variable modification. Trypsin was selected as the enzyme, with one potential missed cleavage, and the normal  
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6 cleavage mode was used.

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8 The Mascot search was validated using Scaffold 4.0 (Proteome Software, Portland, OR). Only proteins matching with two  
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10 different peptides, with a minimum probability score of 95% were considered to be identified hits. The reference limit to p,  
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12 0.05 for the probabilistic scores of MS/MS assignment was 45. When multiple proteins were identified in a single spot, the  
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14 proteins with the highest number of peptides were considered as those corresponding to the spot.

### 15 16 **Western blot analysis**

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18 For WB, all FNA samples from pathological lesions and surrounding healthy gland tissues were processed to validate the  
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20 differential protein expression found with 2-DE analysis. Aliquots of each FNA sample were mixed with a SDS sample  
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22 buffer (Laemmli solution) and a volume of each sample (ranging from 10 to 60  $\mu\text{g}$  of proteins) was run on 12% SDS-PAGE  
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24 gels, and transferred onto nitrocellulose membranes using 25V and 1.3A, for 7 min (TransBlot Turbo, Transfer System,  
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26 Biorad). In order to normalize the optical density of immune-reactive bands, the total protein optical density was calculated.  
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28 Therefore, immediately after electrophoresis, the membranes were stained with 1  $\mu\text{M}$  RuBP as previously described.[14]  
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30 After staining, membranes were processed according to the standard procedure. The primary antibodies anti-CFL1, -  
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32 ANXA1, -ANXA5, -CAPZA-1, -CAPZB, -CAPG, -CRYAB, -PPIA, -IGHG1 and -SOD were used at a 1:1000 dilution, and  
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34 the anti-CAPG antibody at 1:500. The immunocomplexes were detected using a HRP-conjugated secondary antibody  
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36 (donkey anti-rabbit 1:10000, donkey anti-goat 1:5000) and the immunoblots were developed using the ECL detection  
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38 system. The chemiluminescent images were visualized by the LAS4010 gel image system (GE HealthCare). For the  
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40 comparison of protein expression levels between malignant and benign samples, the antigen-specific bands were quantified  
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42 using the Image Quant-L (GE Health Care) software.

### 43 44 **FFPE protein extraction**

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46 Protein extraction from FFPE parotid tissues was performed essentially as previously described.[14] Briefly, the specimens  
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48 of FFPE parotid tissues of nineteen different patients, operated on in our Centre but not included in the previously described  
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50 group (11 malignant tumors, 5 PAs and 3 controls), were collected from the tissue archive of the pathology section of the  
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52 Department of Surgical, Medical, Molecular and Critical Area Pathology, ENT Unit, of the University of Pisa. Seven to  
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54 fifteen 5  $\mu\text{m}$  section pieces obtained from each patient were pooled and deparaffinized in 2-5 changes of xylene for 10 min  
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56 each. The tissue was then rehydrated through a series of graded ethanol incubations (twice at 100%, once at each 85% and  
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58 70%) for 10 min each. After rehydration, the tissue was resuspended in 20 mM Tris-HCl pH 6, extraction buffer containing  
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4 2% SDS and 0.2 M glycine, sonicated 3 times for 10 sec each and incubated at 4°C for 1 hour under agitation. The  
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6 homogenates were heated at 100°C for 20 min and successively at 60°C for 2 hours. The crude extracts were finally  
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8 clarified by centrifugation at 13000 g for 20 min at 4°C. The protein content of the extracts was determined by the RC  
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10 DC™ protein assay kit (Biorad) using albumin as standard. For WB analysis aliquots of 10 µg or 20 µg of protein were  
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12 resuspended in Laemmli solution and processed for the detection of ANXA1, CFL1 and CAPZA-1 as described above.  
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### 14 **Statistical analysis and String analysis**

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16 Statistical analysis to detect 2-DE spot differences (MSGT vs PA and MSGT vs WT) was performed using SameSpot  
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18 (TotalLab, UK) software. The software included statistical analysis calculations such as ANOVA and False Discovery Rate,  
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20 through which p and q values were calculated, respectively. Statistical analyses were performed with GraphPad Prism (San  
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22 Diego, CA, USA). Statistical differences in the immunoreactive bands between groups were calculated by the Mann-  
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24 Whitney U test for non-normal data using GraphPad Prism software (San Diego, CA, USA). To determine the statistical  
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26 correlations among suggested protein biomarkers and clinical parameters, the Spearman's rank correlation coefficient was  
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28 calculated, which is a nonparametric measure of correlation based on data ranks, using SPSS (SPSS/PC statistical Package  
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30 for the Social Science, update for 10.1.Chicago, IL: SPSS Inc., 2000).  
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33 Protein-protein interaction networks were analyzed using String software (string-db.org/). Confidence view was assigned a  
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35 score of 0.4, indicating medium confidence. All data are presented as mean ± SEM and a p-value < 0.05 was considered  
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37 significant in all cases.  
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## 41 **Results**

### 42 **Comparative proteomic analysis of Parotid Tumor FNA samples**

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44 A comparative proteomic analysis was performed between MSGT, PA and WT FNA samples using 2-DE followed by  
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46 nano-LC-ESI-MS/MS. A 2-DE gel image of a representative MSGT FNA sample is shown in Figure 2. Using  
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48 computational 2-DE gel image comparison, a total of 12 and 27 differentially expressed spots were found with a fold  
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50 variation greater than 2 for MSGT vs PA and MSGT vs WT, respectively. Differentially expressed protein spots were  
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52 subsequently subjected to nano-LC-ESI-MS/MS analysis and identified. A list of identified proteins and their MW, pI, score  
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54 and coverage values of MS/MS, fold-change in expression levels and p values are shown in Table 4. Principal component  
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56 analysis was performed by SameSpot (data not shown) and the proteins that concurred to a net separation between MSGT  
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58 and the other classes, are highlighted in Table 4.  
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4 **Validation of MSGT proteins by WB analysis**  
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6 WB analysis, using specific polyclonal antibodies, was used to validate the expression changes of some proteins identified  
7 by 2-DE. Particularly, a subset of 10 candidate proteins, namely CFL1, ANXA1, ANXA5, PPIA, SOD1, CRYAB, IGHG1,  
8 CAPG, CAPZA-1 and CAPZB, were selected for validation by immunoassay. All MSGT, PA, WT and healthy counterpart  
9 tissue FNA samples were analyzed. For each tested protein, the optical density (OD) of the specific immunoreactive band  
10 was determined and normalized with the corresponding total protein content and the resulting mean values  $\pm$  SEM were  
11 compared. Figure 3 shows the bar graphs obtained for the validated proteins. Table 5 reports the p-value obtained by Mann-  
12 Whitney U test from each comparison. Immunoblot analysis indicated the differential expression of ANXA5, CFL1, PPIA  
13 and CAPZA proteins in MSGT with respect to PA, WT, and healthy counterpart samples (Table 5, bold character).  
14 On the other hand a significant increase of IGHG1 and SOD expression was confirmed in WT ( $p < 0.05$ ) and PA ( $p < 0.05$ ),  
15 respectively, compared to MSGT samples.  
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18 **Clinical correlations**  
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20 Statistical correlations were carried out calculating the Spearman's rank correlation coefficient. All proteins validated by  
21 WB, i.e. CFL1, ANXA1, ANXA5, PPIA, SOD1, CRYAB, IGHG1, CAPG, CAPZA-1 and CAPZB were evaluated. The  
22 considered clinical parameters were: age, outcome, T and N grading. No statistically significant correlations were observed  
23 between any validated protein and any clinical parameter. However, there was a strong negative correlation trend between  
24 CFL1 and outcome, with a Spearman's rank correlation coefficient value of -0.866 and a p value of 0.05, with a higher  
25 CFL1 expression indicating a higher rate of survival. In addition there was a positive correlation trend ( $r = 0.630$ ,  $p = 0.08$ )  
26 between CAPG and tumor grading.  
27

28 **WB analysis of FFPE tissues**  
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30 With the aim of evaluating FFPE protein extracts in the validation phase of differentially expressed proteins, WB analysis of  
31 ANXA1, CAPZA1 and CFL1 was performed. The representative immunoblots are shown in Figure 4. The apparent  
32 molecular weight of the immunoreactive bands was the same of those detected in FNA samples. Moreover, immunoblot  
33 results showed a similar expression trend for each protein in both FNA samples and FFPE tissues. Statistical analysis  
34 confirmed a significant difference ( $p < 0.05$ ) between MSGT and healthy tissues for CFL1 and CAPZA1, but not for  
35 ANXA1, while no significant results were obtained between MSGT and PA.  
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38 **STRING Analysis**  
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4 To investigate the relationship between the differentially expressed proteins, protein–protein interactions were analyzed  
5 via STRING. The STRING analysis identified a main network correlating to proteins involved in cytoskeleton  
6 organization and actin filament depolymerization. The key node of the network was CFL1, which showed a different grade  
7 of association to the other proteins depending on the edge thickness. The network is shown in Figure 5.  
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## 14 **Discussion**

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16 Salivary glands malignancies are a very heterogeneous group of human tumors, which therefore renders the identification of  
17 potential biomarkers a clinical challenge. Unlike other human carcinomas, for which prognostic features have been defined,  
18 the prognostic profile of salivary gland cancer is limited to staging and grading. In our previous work, we performed a  
19 proteomic analysis of FNA fluids of most the common benign salivary gland neoplasms (PA and WT) and identified a panel  
20 of potential biomarkers able to discriminate between them in order to improve their diagnosis. In addition, Mutlu et al.  
21 performed a proteomic analysis on PA tissue, which also contributed additional insight into this area.[15] However, to date,  
22 no proteomic studies have been performed in salivary gland cancer, with the identification of any potential biomarkers  
23 limited only to WB and/or immunohistochemical studies,[16,17] and salivary gland miRNA profiles only used in the  
24 prediction of the presence of a parotid gland neoplasm.[18] We therefore, performed a comparative proteomic analysis of  
25 FNA proteins obtained from salivary gland carcinoma and benign lesions. High-resolution electrophoresis, followed by a  
26 comparative analysis, allowed us to find two different proteins profiles able to differentiate malignancies from the PA and  
27 WT benign forms. The over-expressed proteins observed in MSGT compared to PA encompass immune response proteins,  
28 regulation of cytoskeleton organization and actin filament depolymerization, as highlighted by STRING analysis. Notably  
29 we found an increase in PSME1 and 2 subunits in MSGT, which are, two of the main components of the constitutive  
30 immunoproteasome. The immunoproteasome plays a critical role in the immune system because it degrades intracellular  
31 proteins, for example of viral origin, prior to their presentation by major histocompatibility complex class I molecules.  
32 However, the presence of the immunoproteasome in non-immune cells has been noted and recently, PSME1 overexpression  
33 was observed in both ovarian and prostate cancer,[19,20] although its role was not clearly defined. It has also been  
34 suggested that the observed increase of PSME1 in oral squamous cells could be the consequence of potential interactions  
35 between PSME and inflammatory cytokines and/or mechanisms of oxidative stress, which arise in carcinoma development  
36 and progress.[21]  
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4 We feel that the most important finding of our study, however, is the overexpression of various members of the capping  
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6 protein family, together with proteins involved in actin remodeling, such as CFL1 and PPIA. The actin-binding complex  
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8 CapZ can bind to the barbed ends of actin filaments and its expression is associated with the dynamic assembly of actin  
9  
10 filaments and cell motility while CAPG has been identified as an oncogene in various carcinomas.[22] Regarding CFL1,  
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12 various cellular functions have been recently discussed by Kanellos and Frame [23] including the regulation of nuclear  
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14 integrity and transcriptional activity, apoptosis, nuclear actin monomer transfer and lipid metabolism. In cancer cells CFL1  
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16 is described as an actin remodeling protein, which controls cell migration and invasiveness via epithelial mesenchymal  
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18 transition (EMT) proteins.[24] Recently, in gastric cancer, a positive correlation between CFL1 concentration and level of  
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20 cytoskeletal rearrangement was observed, with the silencing of CFL1 inhibiting EMT, invasion and metastasis.[25]  
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22 Similarly, in breast cancer a role of CFL1 in promoting cell invasion has also been proposed.[26,27] Overall CFL1 can be  
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24 considered as a multifunctional protein which is involved in several signaling pathways implicated in cell proliferation,  
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26 invasion and metastasis according to an equilibrium between kinases, phosphatases and other proteins which regulate its  
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28 activity. Particularly, the balance between phosphorylated and non-phosphorylated CFL1 and the constant cycling between  
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30 these two forms by LIM kinases and several phosphatases affects metastatic potential.[24] Our 2-DE data showed a  
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32 significant increase of CFL1 expression in FNA samples in MSGT from the benign forms, which was also validated by WB  
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34 analysis. The 2-DE results actually indicate the presence of two close spots that differed only in the pI value, and we suggest  
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36 that the more acidic spot, which is differentially expressed in MSGT compared to healthy and PA samples, could be the  
37  
38 phosphorylated form of CFL1, although there are a number of controversial hypothesis regarding the role of  
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40 phosphorylation on CFL1 activity.[28,29] We also identified a correlation trend between CFL1 and outcome in MSGTs,  
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42 with a higher expression being a marker of a good prognosis. The role of CFL1 in the progression and prognosis of different  
43  
44 types of cancer has been described previously. [30, 31] Moreover, CFL1 expression levels have been reported as a  
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46 prognostic and drug resistance marker in non-small cell lung cancer.[32] The STRING analysis of our data indicated CFL-1  
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48 as central node with respect other differentially expressed proteins with some of them, i.e. CAPZB and PPIA, showing high  
49  
50 confidence. Further investigations would be necessary to understand the possible role of these involved pathways in the  
51  
52 context of MSGTs.

53  
54 We also observed an intense PPIA expression in MSGT FNA samples, with limited expression in the healthy and benign  
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56 samples. PPIA is a member of the peptidyl-prolyl cis-trans isomerase family, and catalyzes the cis-trans isomerization of  
57  
58 proline imidic peptide bonds in oligopeptides, accelerating protein folding. It has also been identified as a key molecule in  
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60 multiple additional biological functions, including molecular chaperoning, protein trafficking, immune modulation, and cell  
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4 signaling.[33, 34] Recently, PPIA has been shown to be overexpressed in various cancers, including pancreatic  
5 adenocarcinoma,[35] and squamous cell carcinoma of the tongue,[36] head and neck, [37] where it appears to play a  
6 pathophysiological function during tumor progression. Given the secretory nature of this cytoplasmic protein, we suppose  
7 that the observed expression increase could be due to its secretion from cancer cells into the tumor milieu. In agreement  
8 with Feng et al. in gastric cancer, [41] but differently to observations in other malignant tumors, [38-40] we observed no  
9 significant clinical correlations with PPIA in MSGT, possibly indicating the relevance of tumor type.

10  
11 Finally, we identified ANXA5 as one of the proteins defining the biomarker panel of MSGT, which exhibited a five-fold  
12 up-regulation compared to benign FNA samples by WB analysis. There is a large body of evidence indicating the  
13 contribution of ANXA5 to tumor progression, invasion and metastasis in many types of cancers.[42] We believe ANXA5  
14 might play a role in defining a malignant phenotype synergistically with other up-regulated proteins.

15  
16 Another goal of this study was to confirm the possibility of using FFPE samples for the validation phase of potential  
17 biomarkers, thus supporting the usefulness of MSGT samples in FFPE archives.[14, 43] Indeed, the WB analysis of protein  
18 extracts from FFPE confirmed the over-expression ANXA1, CAPG and CFL1 in MSGT compared to PA and healthy  
19 counterpart tissue. These results strengthen the validity of this approach and open the possibility to use the incredible  
20 number of FFPE tissue samples as an important source in the study of rare diseases and rare cancers.

## 21 22 23 24 25 **Conclusions**

26  
27 In conclusion we performed for the first time a proteomic analysis of MSGTs and propose a panel of potential biomarkers  
28 for salivary gland carcinoma. From this starting point, we will subsequently aim to increase both the number and  
29 homogenous nature of our sample to strengthen our current validation results and to confirm or refute our observed  
30 correlation trends. Given the rarity of MSGT, this is where the use of FFPE specimens, which we herein indicated the  
31 usefulness of, could be vital in increasing sample availability. Finally, we aim to investigate the presence of these  
32 biomarkers in human serum and/or whole saliva in order to develop more practical translational tools in the diagnosis and  
33 prognosis of MSGT patients.

## 34 35 36 37 **Competing Interests and Funding**

38  
39 None of the authors have any conflicts of interest to disclose. No funding obtained.

## 40 41 42 43 **Ethics approval and consent to participate**

44  
45 This study was approved by the the Local Ethics Committee (Comitato Etico Area Vasta Nord Ovest - CEAVNO, Azienda  
46 Ospedaliera Universitaria Pisana; reference number 29937). Patients provided written, informed consent to participate. All

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4 procedures performed in studies involving human participants were in accordance with the 1964 Helsinki declaration and its  
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6 later amendments or comparable ethical standards.  
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**Table 1** Demographics and clinicopathologic features of patients with SGMTs

<b>Gender (M/F)</b>	<b>8/12</b>
<b>Mean age</b>	62.9 (26-84)
<b>Tumor subtypes</b>	
Acinic cell carcinoma	2
Mucoepidermoid carcinoma	7
Adenoid cystic carcinoma	4
Myoepithelial carcinoma	2
Adenocarcinoma	1
Salivary duct carcinoma	1
Carcinoma ex pleomorphic adenoma	1
Cystadenocarcinoma	1
Epithelial-myoepithelial carcinoma	1
<b>Tumor site</b>	
Parotid gland	16
Submandibular gland	4
<b>Grading</b>	
High	10
Low	9
N/A	1
<b>Treatment</b>	
Surgery	9
Surgery and radiotherapy	10
Surgery and chemoradiotherapy	1
<b>Follow-up</b>	
No evidence of disease (NED)	11
Alive with disease (AWD)	1
Dead of disease (DOD)	6
Dead without disease (DWD)	1
N/A	1

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**Table 2** Staging of patients with MSGTs according to the AJCC Cancer Staging Manual (7<sup>th</sup> Edition)

	<b>T0</b>	<b>T1</b>	<b>T2</b>	<b>T3</b>	<b>T4a</b>	<b>T4b</b>
<b>N0</b>		6	6	2	2	
<b>N1</b>						
<b>N2a</b>						
<b>N2b</b>				2		1
<b>N2c</b>			1			

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**Table 3** Demographics and clinicopathologic features of patients with benign salivary gland tumors

	<b>No. Patients</b>	<b>Gender (M/F)</b>	<b>No. Lesions</b>	<b>No. Parotid gland (%)</b>	<b>No. Submandibular gland (%)</b>	<b>Dimensions (mm; mean)</b>	<b>Range (mm; min-max)</b>
<b>Total</b>	51	21/30	57	52 (91)	5 (9)	24	9-50
<b>Pleomorphic adenoma</b>	37	17/20	42	37 (88)	5 (12)	23	9-50
<b>Warthin's Tumor</b>	14	4/10	15	15 (100)	0 (0)	25	17-40

**Table 4** Protein identification of differentially expressed proteins in FNA samples of benign and MSGTs by MS/MS

Spot no.	Id.	Protein name	Gene name	Theoretical Mw/pI	Matched peptides	Coverage (%)	Best ion score	FV MSGT vs WT	FV MSGT vs PA
1435	P01857	Ig gamma-1 chain C region	IGHG1	36105/8.46	3	12	60.4	↓ 4.7	↓ 2.1
777	P02675	Fibrinogen beta chain	FGB	55928.6/8.54	15	32	73.5	ns	↓ 2.8
869	P49189	4-trimethylaminobutyraldehyde dehydrogenase	ALDH9A1	53802.0/5.69	7	17	83.2	↑ 1.7	↑ 2.1
1239	P04083	Annexin A1	ANXA1	38715.9/6.57	16	41	92.5	ns	↓ 2.5
1383	P63244	Guanine nucleotide-binding protein subunit beta-2-like 1	GNB2L1	35077.0/7.60	4	11	62.2	ns	↑ 3.0
1394	Q9UL46	Proteasome activator complex subunit 2	PSME2	27402.3/5.54	3	15	56.1	↑ 2.2	↑ 3.2
1401	P47756	F-actin-capping protein subunit beta	CAPZB	31351.6/5.36	9	39	101.0	↑ 3.0	↑ 3.0
1438	Q06323	Proteasome activator complex subunit 1	PSME1	28723.9/5.78	4	13	67.6	↑ 1.6	↑ 2.3
1904	P02511	Alpha-crystallin B chain	CRYAB	20159.5/6.76	4	14	42.9	ns	↓ 6.8
2162	P23528	Cofilin-1 OS=Homo sapiens	CFL1	18503.2/8.22	5	39	78.0	↑ 5.3	↑ 4.0
2565	P06702	Protein S100-A9	S100A9	13242.37/5.71	3	32	43.8	ns	↑ 4.8
2953	P52907	F-actin-capping protein subunit alpha-1	CAPZA1	32923.2/5.45	5	26	87.1	ns	↑ 2.9
2046	P00441	Superoxide dismutase[Cu-Zn]	SOD1	15935/5.7	12	41	94	↑ 2.8	ns
1058	P40121	Macrophage-capping protein	CAPG	38498/5.82	7	20	58	↑ 3.1	ns
2276	P62937	Peptidyl-prolyl-cis-trans isomerase A	PPIA	18012.49/7.68	5	41	67	↑ 3.6	↑ 1.6
2294	P62937	Peptidyl-prolyl-cis-trans isomerase A	PPIA	18012.49/7.68	6	38	45	↑ 4.0	↑ 2.0
1284	P08758	Annexin 5	ANXA5	35805.58/4.93	5	35	101	↑ 3.4	↑ 5.0

Abbreviations: MW, molecular weight; pI, isoelectric point; ns, not significant; FV, fold variation; MSGT, malignant salivary gland tumor; PA, pleiomorphic adenoma; WT, Warthin's tumor; FNA, fine needle aspiration

**Table 5** Statistical results of WB analysis

Protein name	p-value					
	MSGT vs H	PA vs H	WT vs H	PA vs WT	MSGT vs PA	MSGT vs WT
ANXA-A1	0.0004	0.0017	ns	0.052	ns	0.0309
SOD1	0.09	0.0110	ns	0.0005	ns	0.0075
<b>CFL1</b>	<b>0.0038</b>	ns	0.0004	0.0602	<b>0.0146</b>	<b>0.0001</b>
CRYAB	ns	ns	<0.0001	0.0032	ns	ns
<b>ANXA5</b>	<b>0.0003</b>	0.004	ns	0.0003	<b>0.0121</b>	<b>&lt;0.0001</b>
<b>PPIA</b>	<b>0.0281</b>	ns	ns	ns	<b>0.0086</b>	<b>0.0022</b>
<b>CAPZA</b>	<b>&lt;0.0001</b>	0.0115	0.0232	ns	<b>0.0005</b>	<b>0.0409</b>
CAPZB	<0.0001	0.0004	<0.0001	ns	ns	ns
CAPG	<0.0001	<0.0001	0.0187	0.0312	ns	0.0058
IGHG1	ns	ns	0.0028	0.0018	ns	0.0056

Mann-Whitney U test for non-normal data of the WB analysis obtained from different comparisons between MSGT, PA and WT groups (Figure 3).

In bold proteins that could discriminate MSGT from the benign tumor groups.

Abbreviations: ns, not significant; MSGT, malignant salivary gland tumor; PA, pleomorphic adenoma; WT, Warthin's tumor; H, Healthy; ANXA1, annexin A1; SOD1, superoxide dismutase 1; CFL1, cofilin 1; CRYAB, alpha-crystallin B chain; ANXA5, annexin A5; PPIA, peptidyl-prolyl-cis-trans isomerase A; CAPZA-1, F-actin-capping protein subunit alpha-1; CAPZ-B, F-actin-capping protein subunit beta; CAPG, macrophage-capping protein; IGHG1, immunoglobulin heavy chain constant gamma 1.

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**Figure Legends**

**Fig.1** Overview of study design. The graphical representation of study design.

**Fig.2** A representative 2-DE map of MSGT. Spots circled indicate all the proteins identified by nano-LC-ESI MS/MS (spot numbers are reported in Table 4)

**Fig.3** The validation of CFL1, ANXA5, PPIA, CAPZA-1, ANXA1, SOD1, CRYAB, CAPZB, CAPG and IGHG1, differential expression by WB in MSGT compared to PA and WT. The bar graph shows the mean  $\pm$  SEM of the normalized OD values. Ruthenium staining was used as a protein-loading control. Statistical differences between the immunoreactive bands were calculated using the Mann-Whitney U test for non-normal data (\* $p < 0.05$ , \*\* $p < 0.01$  \*\*\* $p < 0.001$ ). Exact  $p$  values are summarized in Table 5.

**Fig.4** WB analysis of CFL1, ANXA1 and CAPZA1 in protein extracts of FFPE tissue. The bar graph shows the mean  $\pm$  SEM of the normalized OD values. Ruthenium staining was used as a protein-loading control. Statistical differences of the immunoreactive bands between groups were calculated using the Mann-Whitney U test for non-normal data (\* $p < 0.05$ ).

**Fig.5** STRING analysis of differentially expressed proteins. Confidence view was assigned a score of 0.4, indicating medium confidence.











