

# Tracking the origins of Yakutian horses and the genetic basis for their fast adaptation to subarctic environments

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**Yakutia, Sakha Republic, in the Siberian Far East, represents one of the coldest places on Earth, with winter record temperatures dropping below  $-70\text{ }^{\circ}\text{C}$ . Nevertheless, Yakutian horses survive all year round in the open air due to striking phenotypic adaptations, including compact body conformations, extremely hairy winter coats, and acute seasonal differences in metabolic activities. The evolutionary origins of Yakutian horses and the genetic basis of their adaptations remain, however, contentious. Here, we present the complete genomes of nine present-day Yakutian horses and two ancient specimens dating from the early 19th century and  $\sim 5,200$  y ago. By comparing these genomes with the genomes of two Late Pleistocene, 27 domesticated, and three wild Przewalski's horses, we find that contemporary Yakutian horses do not descend from the native horses that populated the region until the mid-Holocene, but were most likely introduced following the migration of the Yakut people a few centuries ago. Thus, they represent one of the fastest cases of adaptation to the extreme temperatures of the Arctic. We find *cis*-regulatory mutations to have contributed more than nonsynonymous changes to their adaptation, likely due to the comparatively limited standing variation within gene bodies at the time the population was founded. Genes involved in hair development, body size, and metabolic and hormone signaling pathways represent an essential part of the Yakutian horse adaptive genetic toolkit. Finally, we find evidence for convergent evolution with native human populations and woolly mammoths, suggesting that only a few evolutionary strategies are compatible with survival in extremely cold environments.**

ancient genomics | adaptation | population discontinuity | regulatory changes | horse

**Y**akutia (Sakha Republic, Russian Federation) is the coldest country in the whole Northern Hemisphere, showing annual thermal amplitudes over  $100\text{ }^{\circ}\text{C}$  and its entire range covered by permafrost (1). Despite such extreme conditions, a group of Turkic-speaking horse-riders, likely originating from the Altai-Sayan and/or Baikal area, migrated into this region between the 13th and 15th centuries, pressed by the expansion of Mongolic

tribes (2–4). The Yakut people successfully developed a unique economy based on horse and cattle breeding, with Yakutian horses mostly exploited as sources of meat and milk.

The Yakutian horse is the most northerly distributed horse on the planet and certainly the most resistant to cold. In contrast to

## Significance

**Yakutia is among the coldest regions in the Northern Hemisphere, showing  $\sim 40\%$  of its territory above the Arctic Circle. Native horses are particularly adapted to this environment, with body sizes and thick winter coats minimizing heat loss. We sequenced complete genomes of two ancient and nine present-day Yakutian horses to elucidate their evolutionary origins. We find that the contemporary population descends from domestic livestock, likely brought by early horse-riders who settled in the region a few centuries ago. The metabolic, anatomical, and physiological adaptations of these horses therefore emerged on very short evolutionary time scales. We show the relative importance of regulatory changes in the adaptive process and identify genes independently selected in cold-adapted human populations and woolly mammoths.**

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cattle, which are kept in stables during winter, horses live in the open air all year round, grazing on vegetation that is buried under deep snow cover for 7–8 mo (5). Yakutian horses exhibit unique morphoanatomical adaptations to the subarctic climate, being extraordinarily hairy and, as predicted by Allen’s rule, compactly built, with short limbs. Their metabolic needs are in phase with seasonal conditions, because they accumulate important fat reserves during the extremely brief period of vegetation growth and lower their activities during winter (6). Yakutian horses also show an increased carbohydrate metabolism in the spring, likely supporting higher energy expenditure and fetal growth (7).

The evolutionary origins of Yakutian horses, however, still remain contentious. The most commonly accepted hypothesis proposes that they descend from a founding population brought by the Yakut people (2, 4). Some authors have suggested that at the time of their arrival, founding horses admixed with local populations descending from wild Late Pleistocene horses (8–10). In contrast, others have proposed that modern Yakutian horses exclusively originate from native Late Pleistocene populations, and were secondarily domesticated by the Yakut people upon their arrival (11).

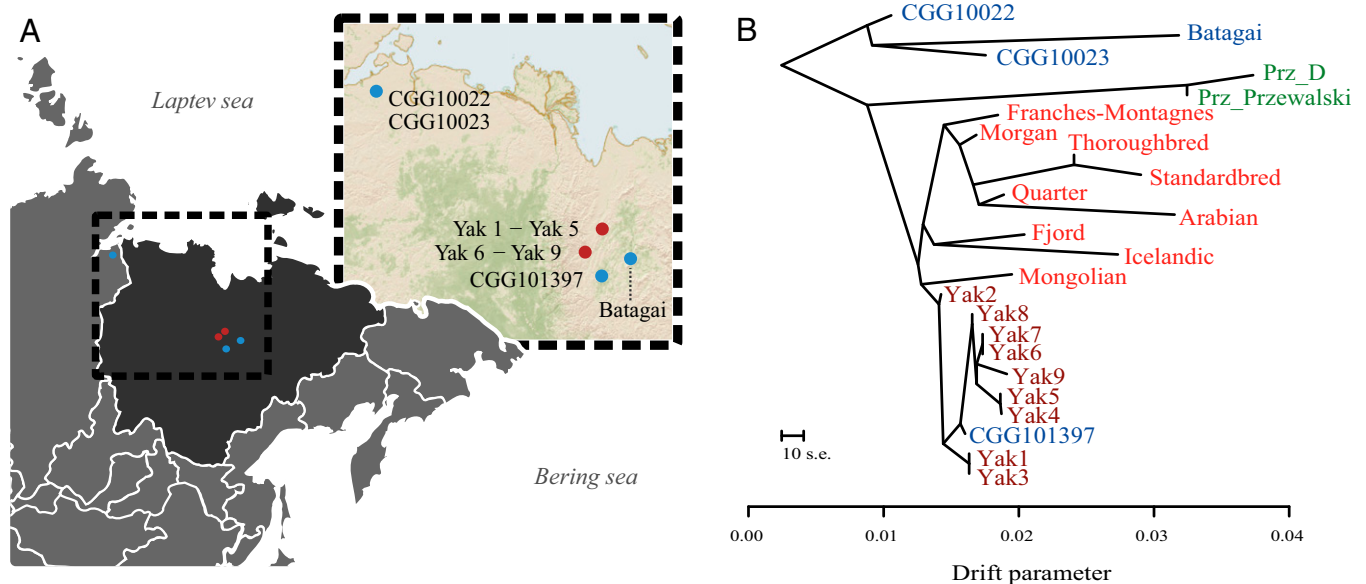
To date, the genomic diversity of Yakutian horses is mostly unknown, and genetic analyses are limited to few microsatellites and mtDNA sequences (12–14). In this study, we have sequenced and analyzed the complete genomes of 11 Yakutian horses, including nine present-day horses and two ancient specimens that lived in the region ~5,200 y ago and in the early 19th century. The results revealed a genetic discontinuity between the Pleistocene and mid-Holocene genomic landscapes, showing that the contemporary population fully descends from a stock of domesticated horses. Taken together, these findings support the predominant hypothesis that the Yakut people introduced the breed in the 13th–15th centuries, and implies that the unique genetic adaptations of Yakutian horses, which we reveal here for the first time to our knowledge, were selected on an extremely short evolutionary time scale.

## Results and Discussion

**Genome Sequencing of Ancient Yakutian Horses.** We extracted DNA from nine horse fossil specimens excavated in Yakutia and

spanning a Late Pleistocene to historical temporal range (*SI Appendix, Table S1.2*). We constructed and sequenced low-depth Illumina DNA libraries to identify extracts showing DNA preservation levels compatible with a cost-effective genome characterization. For most of the specimens, we found a predominance of microbial sequences closely resembling soil microbial communities (*SI Appendix, section S3*). However, two specimens showed relatively large fractions of high-quality hits against the horse reference genome EquCab2.0 (15) (38.2–43.4% after quality filtering) and were sequenced further to 18.3-fold and 20.2-fold mean coverage (*SI Appendix, Table S2.6*). The first specimen, hereafter referred to as Batagai, was excavated near Verkhoyansk (Fig. 1A), and radiocarbon dated to  $4,450 \pm 35$  uncalibrated radiocarbon years [2,939–3,337 calibrated years B.C. (i.e., ~5,200 y ago)]. The second specimen (CGG101397) was excavated in the same region as part of French archeological expeditions (MAFSO 2008), within an autochthonous burial from the early 19th century. The depth of coverage of the X chromosome, which was approximately half the depth of coverage of autosomes (*SI Appendix, Table S2.6*), indicated that the two specimens were stallions. Sequencing data were also compatible with the retrieval of the complete mitochondrial genome of two additional ancient specimens (ODJ6 from the 18th century and the ~5,450-y-old Yukagir).

The size distribution of the Batagai and CGG101397 DNA inserts showed a strong ~10-bp periodicity (*SI Appendix, Fig. S2.1*), likely reflecting nucleosome protection (16), as observed for other permafrost-preserved (17, 18) and temperate samples (19). Additionally, typical signatures of postmortem DNA damage were found, with DNA library types based on blunt-end ligation (20) showing decreasing C→T misincorporation rates from read starts paralleled by increasing G→A toward read ends (*SI Appendix, Figs. S2.3 and S2.5*). This pattern was also observed for TruSeq DNA libraries (Illumina), together with a range of other misincorporation types toward read ends, likely resulting from palindromic artifacts (21). We thus trimmed read ends to limit their impact on downstream analyses and used mapDamage2 (22) to downscale the quality scores of bases inferred to be damage-driven nucleotide misincorporations, which resulted in final



**Fig. 1.** Geographic distribution and population affinities. (A) Geographic location of ancient (blue) and modern (dark red) Yakutian horse samples (*SI Appendix, Table S1.1*). (B) TreeMix relationships between modern Yakutian horses (brown), ancient horses (blue), Przewalski’s horses (green), and our panel of nine domestic breeds (red).

estimates of sequencing error rates of 0.0735% and 0.0404% errors per base for the Batagai and CGG101397 genomes, respectively (*SI Appendix, Fig. S2.9*).

**Genome Sequencing of Present-Day Yakutian Horses.** We collected hair samples from 12 living animals originating from distant locations in Yakutia, stretching from the Yana River to the Kolyma River (*SI Appendix, section S2.3*). Hair DNA extracts were compatible with complete genome sequencing at ninefold to 21.6-fold mean coverage for nine individuals from the Eveno-Bytantaj district (Yak1–Yak9; Fig. 1A). With error rates ranging between 0.0386% and 0.0694%, the quality of these genomes is similar to the quality of our ancient genomes (*SI Appendix, Fig. S2.9*).

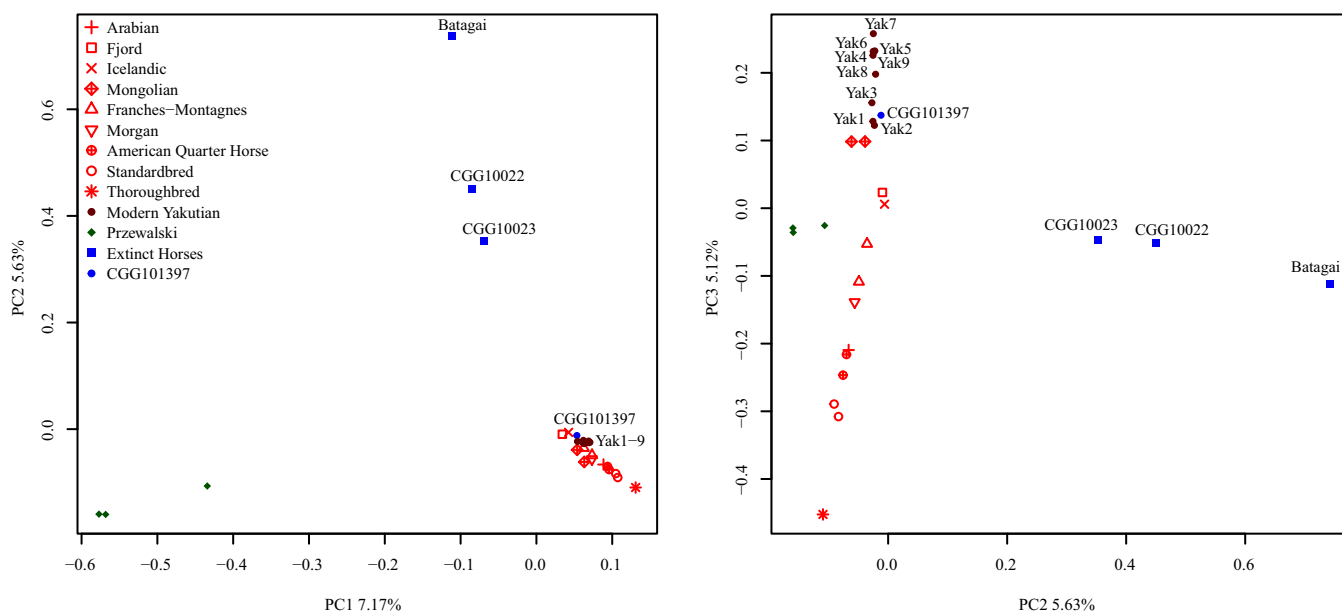
**Population Genetic Discontinuity from Mid-Holocene to Present Times.** We first compared the 16 complete mitochondrial sequences characterized here (*SI Appendix, section S5.1*) with complete mitochondrial sequences from 27 ancient and 78 present-day horses (14, 18, 23–28). Maximum likelihood (ML) and Bayesian phylogenetic inference showed that the Yakutian mitochondrial haplotypes of specimens Yak1–Yak7, Yak9, and CGG101397 are closely related to the mitochondrial haplotypes of domesticated breeds (*SI Appendix, Figs. S5.1 and S5.2*). In contrast, specimen Yak8 clustered within a now-extinct group of Late Pleistocene horses. Finally, the mitochondrial haplotypes of the Batagai and Yukagir specimens (~5,200 and 5,450 y old, respectively) grouped together within a cluster absent from all living horses hitherto characterized, and thus possibly extinct.

We took advantage of the presence of nine stallions in our genome dataset to analyze the diversity present in the Y chromosome. We found that modern Yakutian horses display four haplotypes closely related to the haplotypes of domesticated horses and the CGG101397 historical specimen (*SI Appendix, Fig. S5.4*). This close relationship indicates paternal continuity since the early 19th century and that diverse stallions were incorporated into the makeup of present-day Yakutian horses, in contrast to previous observations in other domesticated horse breeds (29, 30). Interestingly, the mid-Holocene specimen Batagai presented a haplotype not described in any present-day

horse but closely related to the haplotype of an ~16,000-y-old stallion from the Taymir Peninsula (Russia; specimen CGG10023) (18), which not only suggests paternal continuity between ancient Yakutian and Taymir populations but also the later extinction and/or replacement of ancient local males by domestic patrilineages.

An exome-based ML tree confirmed the phylogenetic affinities of present-day Yakutian horses and modern domesticated breeds (represented here by Arabian, Franches-Montagnes, Icelandic, Mongolian, Morgan, Norwegian Fjord, American Quarter Horse, and Standardbred breeds) (15, 18, 27, 31, 32) (*SI Appendix, section S5.3 and Fig. S5.5*). Additionally, contemporary Przewalski's horses represent a distinct group, separated from a third clade consisting of the two Late Pleistocene horses (CGG10022 and CGG10023) (18) and the ~5,200-y-old Yakutian sample Batagai (100% bootstrap support). The same population affinities were recovered in TreeMix using 356,720 high-quality SNPs (Fig. 1B) and in principal component analysis (PCA) (Fig. 2 and *SI Appendix, Fig. S6.7*). The genetic affinities between the Taymir Peninsula and Yakutia possibly reflect an ancient population surviving in Yakutia at least until ~5,200 y ago and stretching over thousands of kilometers across the Holarctic region.

Projections (33) of ancient genomes onto the Yakutian horse genome panel allowed further characterization of the genetic continuity of Yakutian horses in the past ~5,200 y (*SI Appendix, section S6.2*). Projection values ( $w$ ) lower than 1 indicate that the derived alleles are less frequent in the test genome, and thus that they have further diverged from the Yakutian horse population. Projection of the CGG101397 genome onto the panel of modern Yakutian horses approaches the  $w = 1$  line, suggesting the former as part of the same population background (*SI Appendix, Fig. S6.6*). The specimens Batagai, CGG10022, and CGG10023 tested against the same panel show remarkably lower genome projections across the whole frequency spectrum of derived mutations. Their minimum projection values (MPVs) were significantly lower (MPV < 0.6067) than the MPVs of CGG101397 (MPV = 0.8719) and Przewalski's horse genomes (mean MPV = 0.6926, SD = 0.0009), confirming phylogenetic results where Batagai (and Late Pleistocene horses) appeared more distantly related to contemporary Yakutian horses than to Przewalski's



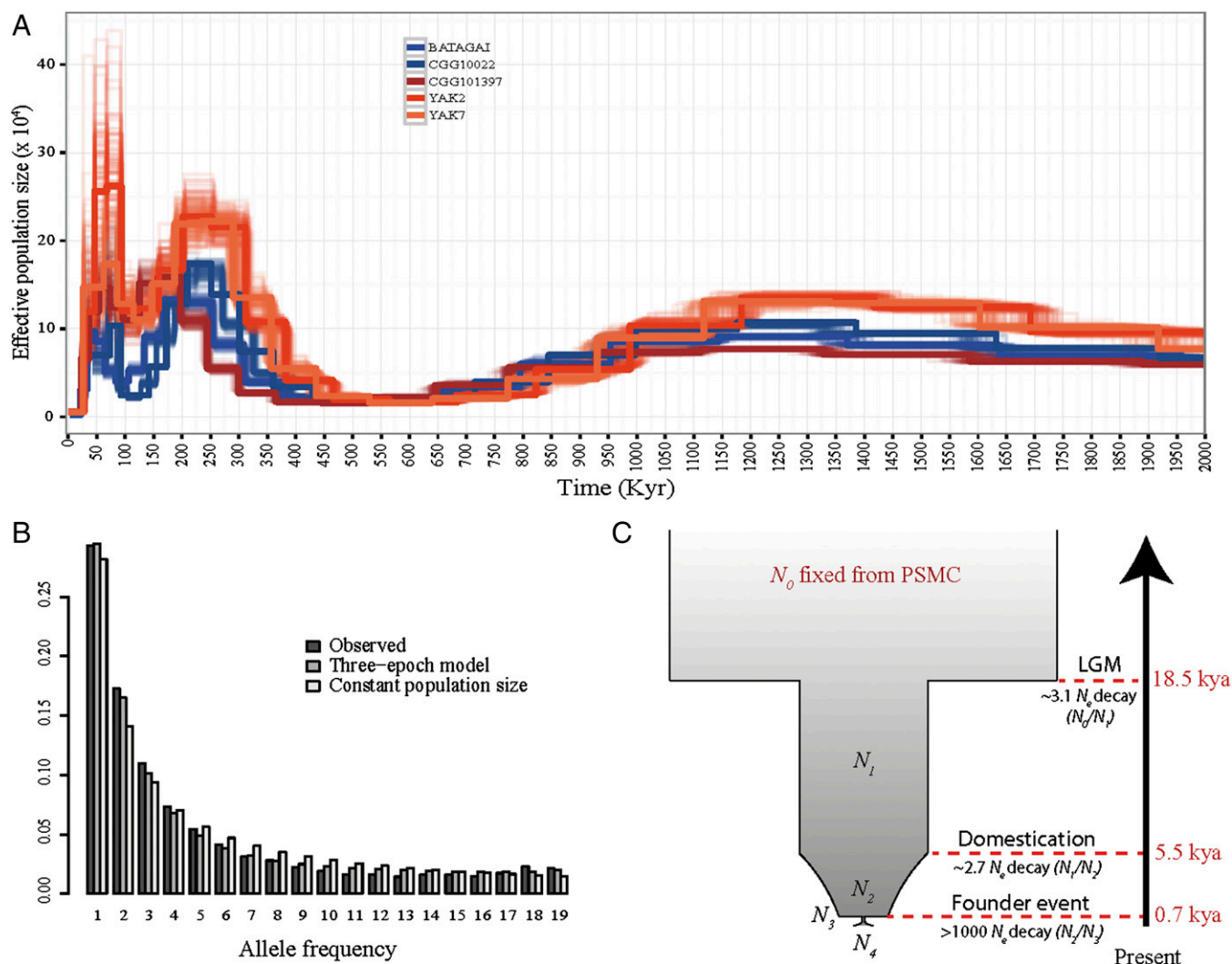
**Fig. 2.** PCA. The analysis was based on genotype likelihoods and 29 genomes representative of present-day Yakutian horses, nine domestic breeds, the Przewalski's horse population, CGG101397, and extinct horses. The fraction of the total variance explained by each of the three principal components is indicated on the corresponding axes.

horses (Fig. 1B). Taken together, these results support that present-day Yakutian horses were introduced later than ~5,200 y ago and earlier than the 19th century, likely following the migration of the Yakut people in the region around the 13th–15th centuries (2, 4).

**Demographic History of Yakutian Horses.** We next investigated the demographic trajectory of Yakutian horses. The pairwise sequential Markov coalescent (PSMC) profile of contemporary Yakutian horses was similar to the PSMC profile of CGG101397 and to the profiles previously reconstructed for domesticated horses (18, 27). PSMC trajectories of Late Pleistocene and domesticated horses diverge ~160,000 y ago, in agreement with the split times previously inferred for both populations (Fig. 3A). However, they exhibit a common dramatic decline in effective population size ( $N_e$ ) ~20,000 y ago, likely reflecting the major climatic change from the end of the Late Pleistocene (34). Because the statistical power of PSMC profiling is reduced toward recent times (35), we applied the diffusion approximation implemented in *∂a∂i* to gain insights on the recent demography of Yakutian horses (SI Appendix, section S6.1.2). Results con-

firmed a steady decline ~20,000 y ago and an exponential decay following the onset of domestication (Fig. 3C). Furthermore, the inclusion of a severe population bottleneck within the past thousand years significantly increased the model likelihood (SI Appendix, Table S6.2). This population bottleneck, and the extremely short branches found in TreeMix (Fig. 1B), represents the genomic signature of the founder event associated with the migration of the Yakut people.

Despite the compelling evidence pointing to a recent origin of modern Yakutian horses, it is unclear whether the native population of horses was already extinct by the time of the arrival of the Yakut people. Interestingly, we found Late Pleistocene horses less inbred and more heterozygous than Batagai [inbreeding coverage = 0.083 vs. 0.000–0.020 and mean  $\log(\theta_w)$  (Watterson theta estimator) = 3.159 vs. 3.677–3.950, respectively; SI Appendix, Figs. S4.2–S4.4]. Together with the PSMC profile, these estimates may indicate that the now-extinct population of ancient Yakutian horses was already declining ~5,200 y ago. Even so, this population could still have survived by the time of the arrival of Yakut people, partially introgressing genetic material into the present-day Yakutian horses, as possibly suggested by the mitochondrial haplotype



**Fig. 3.** Long- and short-term  $N_e$  changes of the Yakutian horse population. (A) Scaled PSMC profile tracking effective population size changes over the past 2 Mya. (B) Site frequency spectra estimated from the present-day population of Yakutian horses (including CGG101397), expected under the neutral model, and fitted under the best demographic scenario (three-epoch model). (C) Three-epoch demographic model for the Yakutian horse population. This model represents the best fit in *∂a∂i* analyses. Kyr, thousand years; LGM, Last Glacial Maximum.

of the Yak8 specimen, which was closely related to the specimens of Late Pleistocene horses.

We therefore tested this introgression hypothesis using a combination of analyses, including admixture tests based on  $f_3$ -statistics (36) and D-statistics (37). None of the  $f_3$ -statistics in the form of (modern Yakutian; Batagai, domesticated) was significant ( $f_3$ -statistics  $\geq 3.23e^{-5}$ , Z-score  $\geq 0.086$ ), providing no evidence for the genome of present-day Yakutian horses representing a merger from Batagai (or Late Pleistocene horses) and domesticated horse population backgrounds (*SI Appendix, section S6.4*). Using D-statistics and the following tree topology (outgroup, Batagai; modern Yakutian, domesticated), the specimen Batagai did not appear closer to modern Yakutian horses than to any other modern domesticated horse (*SI Appendix, section S6.4.1 and Fig. S6.9*), ruling out possible introgression of Batagai alleles in contemporary Yakutian populations. Interestingly, similar outcomes were obtained when Batagai was replaced by any of the two Late Pleistocene horses representing the same population background. However, the tree topology could be rejected when replacing Batagai with CGG101397 (Z-score  $\geq 4.40$ ), suggesting that specimen CGG101397 is closer to contemporary Yakutian horses than to other domesticated horses. The latter finding further supports genetic continuity from the early 19th century to the present day and suggests that the introduction of exogenous alleles from a range of domesticated breeds that was attempted during the Soviet period (5) massively failed.

**Genetic Adaptations of Yakutian Horses.** Yakutian horses developed striking physiological and morphological adaptations to subarctic environments following their recent arrival in the area. This demographic context provides a unique opportunity to investigate the genetic basis and evolutionary mechanisms underlying these traits, including the relative contribution of coding and noncoding mutations to fast-evolving adaptations.

We estimated the fixation index of genetic differentiation ( $F_{ST}$ ) within 50-kb sliding windows (step size of 10 kb) by comparing the genomes of modern Yakutian horses (YAK; including the closely related historical CGG101397 specimen; *SI Appendix, section S7*) against two different panels of domesticated genomes (*SI Appendix, Tables S7.1 and S7.2*). The first panel included all 27 domesticated horses across nine breeds (DOM), which alleviates possible breed-specific selective processes. The second panel included horses from the same breed [12 Franches-Montagnes (FM) horses], which minimizes the impact of the genetic structure within domesticated breeds on  $F_{ST}$ . We applied different approaches to identify  $F_{ST}$  outlier regions, reporting from 251 to 1,489 genes potentially undergoing positive selection (*SI Appendix, Tables S7.2 and S7.3*), whose biological significance is discussed below (*SI Appendix, Tables S7.4–S7.7*). We noticed that the  $F_{ST}$  outlier windows were depleted of protein-coding genes (*SI Appendix, Fig. S7.3*), suggesting a long-term effect of negative selection in reducing genetic differentiation, as well as ongoing adaptive pressures in noncoding, potentially regulatory regions.

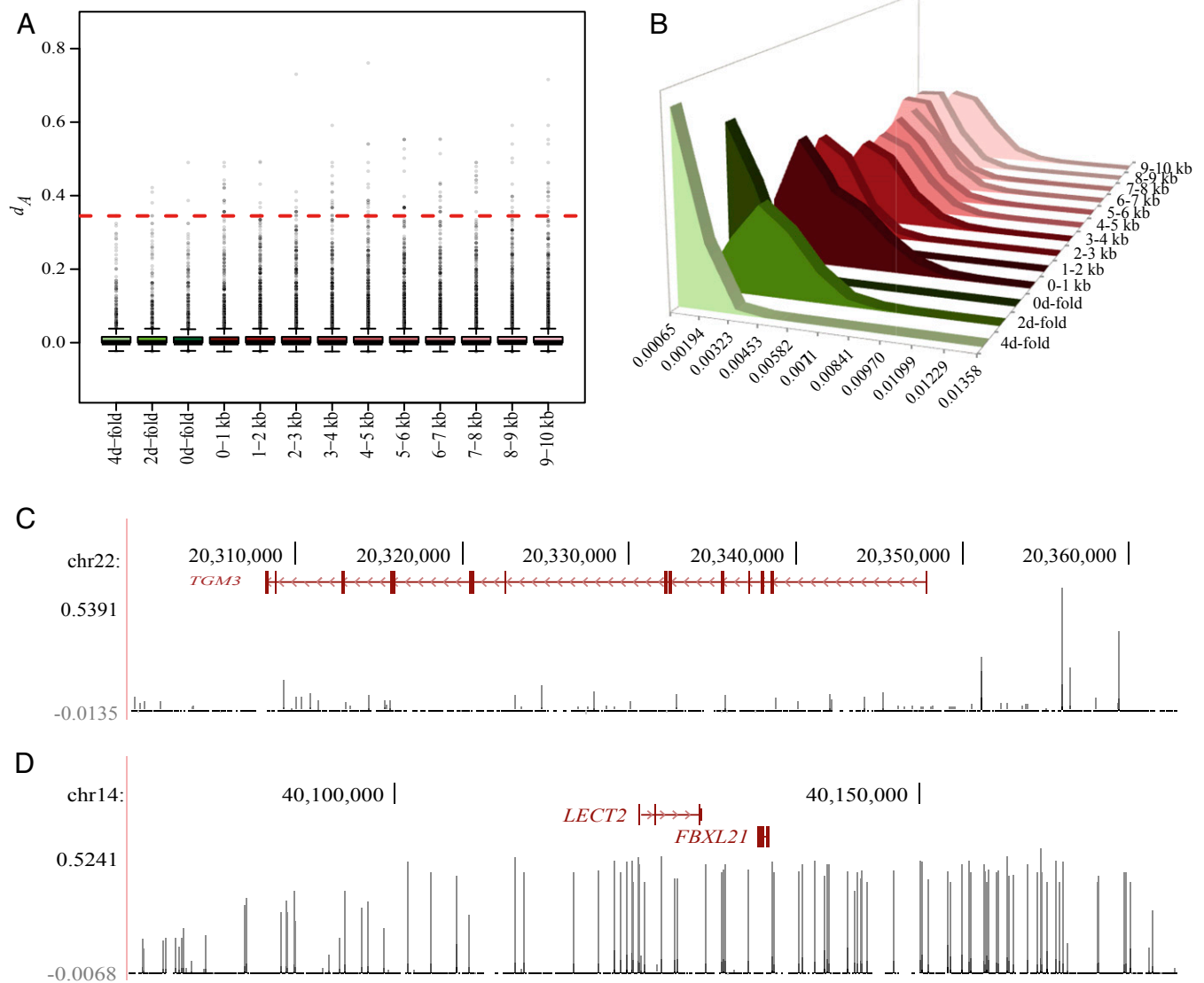
We further investigated the role played by noncoding regions at a finer scale, stratifying sites into different coding (i.e., 0d-fold, 2d-fold, 4d-fold degenerate coding positions) and noncoding [10 first 1-kb bins upstream of the translation start site (TSS)] categories. Because  $F_{ST}$  is not defined for monomorphic positions, we calculated the average net number of nucleotide differences ( $d_A$ ) between populations as a proxy for their differentiation (38). We used the  $d_A$  distribution of 4d-fold sites to delineate a threshold for positions evolving under neutrality (*SI Appendix, section S7.2*). Nucleotide positions exceeding this threshold thus represent key adaptive candidates (Fig. 4A). We found that the proportion of adaptive candidates was not homogeneously distributed across all site categories but was enriched in noncoding positions, especially within the most proximal kilobase upstream

of the TSS, where regulatory elements often concentrate (Fig. 4B). A similar pattern is observed for the YAK-DOM population pair (*SI Appendix, Figs. S7.4 and S7.5*), supporting a major role for transcriptional evolution in driving the adaptation of Yakutian horses to their extreme environment. Our results contribute to ongoing debates in the field of evolutionary biology regarding the adaptive potential of *cis*-regulatory elements and protein-coding regions (39–41). *Cis*-regulatory regions have indeed been proposed to offer more standing variation readily available for natural selection and to induce transcriptional changes in very specific spatiotemporal conditions. Therefore, and unlike mutations altering protein sequences, *cis*-regulatory changes may enable rapid attainment of the fitness optima by finely tuning gene expression. The opponents to such models argue that other evolutionary mechanisms can also minimize the evolutionary constraints at the protein-coding regions, mainly through gene duplication and subsequent subfunctionalization or neofunctionalization (41, 42). Our findings support the contention that *cis*-regulatory elements play a major role in fast adaptive processes, such as the adaptive process of Yakutian horses to the Arctic.

Beyond an overrepresentation of nonspecific fast-evolving systems, such as sensory perception of smell (*SI Appendix, Tables S7.10 and S7.11*), a detailed inspection of the list of adaptive *cis*-regulatory candidates uncovered variants participating to minimize heat loss (*SI Appendix, Tables S7.8–S7.14*), such as an extreme hair density, s.c. fat accumulation, and a relatively small surface relative to body mass. Strikingly, this list is enriched in genes associated with cellulitis (adjusted  $P \leq 0.0391$ ) and bone and limb morphogenesis, including abnormal foot bone ossification and multiple sclerosis (adjusted  $P \leq 0.0036$ ). It also includes the *TGM3* gene, whose loss of function in mice results in impaired and thinner hairs (43). The  $d_A$  peak detected  $\sim 8$  kb from its TSS (Fig. 4C and *SI Appendix, Tables S7.12 and S7.14*) might thus be involved in the hairy phenotype of Yakutian horses.

Extreme temperatures and seasonal variations in daylight exposure (*ca.* 40% of Yakutia lies above the Arctic Circle) are also known to drive a range of endocrine responses, such as the release of steroid and glucocorticoid hormones by the hypothalamus–pituitary–adrenal axis (44–50). These hormones activate adrenoreceptors in the liver, and thus glycogenesis, which ultimately regulates thermogenic requirements and produces antifreezing compounds (51). We detected significant enrichment for hypothyroidism (adjusted  $P = 0.0090$ ) and endocrine system diseases (adjusted  $P = 0.0057$ ), including posterior pituitary disorders (adjusted  $P = 0.0420$ ) and type I diabetes mellitus (adjusted  $P = 0.0077$ ; *SI Appendix, Tables S7.9–7.14*). The latter might reflect adaptive signatures for regulating glycemia, in relation to the thermogenic antifreezing properties of glucose. More strikingly, our list of *cis*-regulatory candidates also includes the thyroid hormone receptor-associated gene (*THRAP3*), which physically interacts with the adrenoreceptor  $\alpha 1B$  (52) that regulates the vasoconstriction/vasodilatation reflex following cold exposure.

Another strategy to avoid or tolerate internal ice formation is indeed reducing the volume of circulating blood (53–55). This strategy, however, deprives internal organs of oxygen and triggers a burst of reactive oxygen species during seasonal temperature recovery (51). We found  $d_A$  peaks at the upstream regions of genes involved in oxidative stress responses (*ADAM9*, *CAT*, *JUN*, *SLC8A1*, and *SOD2*), vasodilation (*KCNJ8* and *SOD2*), and blood coagulation (*F2R*, *F3*, *LPA*, *PAPSS2*, *PF4*, and *PLG*). We consistently found enrichment in functionally associated terms, such as peripheral arterial disease (adjusted  $P = 0.0219$ ); disorders of fluid, electrolyte, and acid-base balance (adjusted  $P = 0.0460$ ); and abnormal cell blood morphology (adjusted  $P = 0.0460$ ; *SI Appendix, Tables S7.9–S7.14*). Overall, our results suggest a major role of noncoding changes in the adaptive response of Yakutian horses.



**Fig. 4.** Relative contribution of coding and noncoding regions to adaptation. (A) Red dashed line delimits the neutrality threshold [i.e., the top-0.001%  $d_A$  quantile of 4d-fold degenerate sites ( $d_A = 0.3448$ )]. (B) Distribution of the proportion of adaptive mutations across categories, including coding (green, 0d-fold, 2d-fold, and 4d-fold degenerate positions) and noncoding (red, 10 first bins of 1 kb upstream from the TSS) sites. (C)  $d_A$  pattern at the *TGM3* gene (reverse strand). (D)  $d_A$  pattern at the *LECT2* and *FBXL21* gene region. chr, chromosome.

The analyses of protein-coding sites confirmed the participation of functional categories similar to those categories over-represented among *cis*-regulatory candidates. First, a selection of 130 genes harboring highly differentiated nonsynonymous alleles (*SI Appendix, section S4.1.3 and Table S4.3*) was significantly enriched for a number of pathways, including galactose, starch, and sucrose metabolisms (adjusted  $P \leq 0.0169$ ), as well as diseases like Nelson's syndrome (adjusted  $P = 0.0214$ ), which leads to an excess of the adrenocorticotrophic hormone. Additionally, the lists of 251–1,489 genes located within  $F_{ST}$  outlier regions (*SI Appendix, section S7.1.1*) were overrepresented for a range of metabolic and endocrine disorders (*SI Appendix, Tables S7.4–S7.7*), including diabetes (adjusted  $P \leq 0.0022$ ), endochondral ossification (adjusted  $P = 0.0115$ ), water reabsorption (adjusted  $P \leq 0.0003$ ), and oxidative stress (adjusted  $P \leq 0.0172$ ).

The functional consistency between protein-coding and upstream regions may be partly explained by the underlying demographic history, which imposes a short time scale whereby founder alleles remain physically linked in large haplotypes. We

indeed observed some cases with large runs of high differentiation values, such as the ~90-kb region covering *LECT2* and *FBXL21* (Fig. 4D), two genes involved in the growth of chondrocytes (56) and the regulation of the circadian clock (57), respectively. It is worth noting, however, that the population bottleneck erased a large fraction of the standing variation, so that differentiation is often restricted to the sole segregating positions remaining in the population (Fig. 4C).

Finally, because gene duplication represents another major source of functional innovation (41, 42), we screened for segmental duplication within Yakutian horse genomes. Overall, we identified a total number of 178 candidate regions for copy number variants (CNVs), showing patterns of depth-of-coverage variation in at least one Yakutian horse that are absent from the reference genome (Twilight; *SI Appendix, Table S4.12*). These CNV regions contained 91 annotated protein-coding genes enriched for a number of biological pathways (*SI Appendix, Tables S4.12–S4.15*), including steroid hormone biosynthesis, fatty acid metabolism, metabolic pathways, and olfactory transduction (adjusted

$P \leq 0.0111$ ). Interestingly, phenotype enrichment analyses revealed four genes (*ACADSB*, *ATPIA2*, *CYP11B2*, and *HSPG2*) associated with abnormality of temperature regulation (adjusted  $P = 0.02120$ ) (*SI Appendix, Table S4.14*).

The functional intersection of noncoding, coding, and gene duplication lists suggests that positive selection is consistently targeting similar metabolic pathways and morphological traits. To investigate whether similar mechanisms underpinned cold adaptations in other mammals, we compared our  $d_A$  list against the top adaptive candidates recently identified in woolly mammoths (58) and in human populations living in Siberia (59). Although no  $d_A$  peak was found for *TRPV3*, a recently described temperature sensor in mammoths (58), we identified other genes with apparent signatures of convergent evolution, including *BARX2* (involved in hair and epidermis development) and *PHIP* (a key regulator of the insulin metabolism). Convergent adaptation signatures were also apparent within human populations, including at the *PRKG1* gene, which is key to minimize heat loss by regulating blood vessel constriction. Overall, this overlapping suggests that subarctic environments considerably restrict fitness landscapes, with only a minority of evolutionary strategies being compatible with survival.

## Conclusions

Our diachronical genome dataset has enabled us to illuminate the origins and adaptations of Yakutian horses. We found that present-day Yakutian horses are a subgroup of domesticated horses, genetically distinct from the now-extinct group that populated Yakutia in the mid-Holocene and the Taymir Peninsula in the Late Pleistocene. Additionally, the comparison with other domesticated breeds unveiled the genetic makeup of present-day Yakutian horses. We found that *cis*-regulatory regions represented a larger proportion of the adaptive candidate loci than gene bodies, which might be a common evolutionary signature of fast adaptive processes. Finally, we found evidence of convergent adaptations among woolly mammoths and human and Yakutian horse populations currently living in the Arctic, suggesting that the adaptive landscape of mammals to such environments is likely restricted.

## Methods

Detailed descriptions of samples and methods are provided in *SI Appendix*. The sequencing data are available from the European Nucleotide Archive, accession no. PRJEB10854.

**Genome Sequencing.** DNA was extracted from nine ancient bone and tooth samples in dedicated ancient DNA facilities and prepared into indexed Illumina libraries, following the procedures described elsewhere (16, 18, 27, 60–62). Contamination was monitored through extraction, library, and amplification blanks, which were all negative. Indexed Illumina libraries were prepared from modern specimens using DNA extracts from the hair of 12 modern Yakutian horses following established methods (27, 60, 63). Illumina sequencing was performed at the Danish National High-Throughput DNA Sequencing Centre and the Kurchatov Institute (*SI Appendix, sections S2.1–S2.3*).

**Read Mapping, Damage Signatures, Genotyping, and Microbial Profiling.** The PALEOMIX pipeline was used to process reads from trimming to genotyping (62) using default parameters, except that the minimal mapping quality and the maximum per-site depth were set to 25 and the top 5% quantile of the read-depth distribution. For ancient specimens, seeding was also disabled and uncollapsed paired-ended reads were excluded. Reads were independently aligned against the horse reference genome EquCab2.0 (15) and the mitochondrial genome (accession no. NC\_001640.1) (64). Mitochondrial genomes were reconstructed using a majority rule and requiring a depth of coverage  $\geq 3$  and base qualities  $\geq 30$ . We used mapDamage2.0 (22) to investigate DNA damage signatures based on 100,000 randomly selected nuclear read alignments per library and to rescale base qualities at read ends. Metagenomic analyses were carried out using the metagenomic module of PALEOMIX, as previously described (61, 62) (*SI Appendix, sections S2.5, S2.6, and S3*).

**Heterozygosity and Inbreeding.** Genome-wide heterozygosity values were calculated as the average of the Watterson theta estimator ( $\theta_w$ ) within 50-kb windows following the method of Orlando et al. (27). Inbreeding coverage estimates were measured within present-day horses, exploiting the genome-wide distribution of the heterozygosity tracts calculated above (62) (*SI Appendix, section 4.3*).

**Phylogenetic Analyses.** Mitochondrial haplotypes were aligned to a dataset of 105 available horse mitochondrial genomes, partitioned into rRNA; tRNA; control region; and the first, second, and third codon positions for coding DNA sequences. Y-chromosome haplotypes were reconstructed aligning reads using the procedure described by Schubert et al. (62). The best substitution models were determined by Modelgenerator version 0.85 (65) for each of the mitochondrial partitions, and for the full mitochondrial and Y-chromosome alignments. For mtDNA and Y-chromosome data, ML phylogenetic inference was performed in PhyML3.0 (66) using the best substitution models. For mtDNA, Bayesian analyses were also performed on the concatenated partitions in BEAST 1.8.0 (67). Exome-based phylogenetic inference was performed from a supermatrix based on the 50% longest protein-coding genes annotated in EquCab version 2.76 (68), using PALEOMIX (62) (*SI Appendix, section 5.5*).

**Genetic Affinities and Population Structure.** PCA based on 356,720 high-quality variants called from whole-genome sequences of 31 horses was carried out with EIGENSTRAT version EIG5.0.1 (69), without outlier iterations (options “-numoutlieriter: 0”). PCA was also estimated in a genotype likelihood framework using Analysis of Next Generation Sequencing Data (ANGSD) and ngsTools (70, 71) (*SI Appendix, section S6.3*). To investigate genetic continuity from ancient to present-day Yakutian horses, we used genome projections (33), where the frequency spectrum of a derived allele in a test genome is compared with the frequency spectrum seen within a reference panel (*SI Appendix, section 6.2*).

**Demographic Reconstructions.** PSMC (35) demographic profiles were scaled using the genome-wide substitution rate of  $7.242e^{-9}$  per site per generation and a generation time of 8 y (27) (*SI Appendix, section S6.1.1*). The *daoi* program (version 1.6.3) (72) was used to reconstruct the recent demographic history of domesticated and Yakutian horses, exploiting the site frequency spectra calculated from 4d-fold protein-coding positions.

**Admixture Analyses.** We ran a series of admixture tests, including NGSAdmix (73),  $f_3$ -statistics (36) and D-statistics (74). In NGSAdmix, we considered a minimum minor allele frequency of 5% and a maximum number of expectation maximization iterations of 5,000. The matrix of 356,720 variants used in PCA analyses was used as input for “threepop” and TreeMix (75) software (-k 1,500 and considering up to four migration edges among population groups) (*SI Appendix, section S6.4.2*). The  $f_3$ -statistics were calculated (domesticated horse, Batagai; present-day Yakutian horse). The D-statistics were calculated following the implementations of Schubert et al. (18), Orlando et al. (27), and Jónsson et al. (76), disregarding transitions for ancient genomes (*SI Appendix, section S6.4.1*).

**CNVs and Nonsynonymous Mutations.** We identified Yakutian-specific CNVs by detecting regions showing an excess of reads mapping to the genome of the Thoroughbred horse (Twilight), in which duplications were masked beforehand using mrCaNaVaR version 0.31 and mrFAST version 2.0.0.5 (77). Potential Yakutian horse-specific markers were collected based on criteria described by Baye et al. (78). Nonsynonymous mutations with a difference in allele frequencies between Yakutian and other domesticated horses superior to 0.4 were selected (*SI Appendix, sections S4.1.3–S4.2*).

**$F_{ST}$ -Based Selection Scan.** The  $F_{ST}$  index (79) was estimated between the domesticated (represented either by 12 Franches-Montagnes or 27 mixed-breed horses) and Yakutian ( $n = 10$ ) horses. The latter were determined within the genotype likelihood framework implemented in ANGSD (80) and ngsTools (71), in 50-kb sliding windows (step size = 10 kb), and disregarding windows where coverage was less than 90%. Genomic regions showing a local and significant increase of the  $F_{ST}$  value (candidates for positive selection) were identified following the method of Jónsson et al. (76) (*SI Appendix, section S7.1*).

**$d_A$ -Based Selection Scan.** We used the mstatspop program to calculate the  $d_A$  index (38) for different coding (i.e., 0d-fold, 2d-fold, and 4d-fold degenerate coding positions) and noncoding sites (10 first 1-kb bins located upstream of the TSS). We disregarded positions where the fraction of valid (nonmissing)

alleles was less than 90%. We assumed that the 4d-fold  $d_A$  distribution delineates the threshold for positions evolving under neutrality. The proportion of adaptive mutations for each site category was estimated by bootstrapping (SI Appendix, section S7.2).

**Functional Enrichment.** Functional enrichment was tested for Yakutian-specific CNVs and nonsynonymous mutations, as well as for  $F_{ST}$  and  $d_A$  outlier regions and positions. We used human and mouse orthologs and the online platform WebGestalt with the human and the mouse as model organisms (81) (SI Appendix, section S7.3).

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