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## The neglected nutrigenomics of milk: What is the role of inter-species transfer of small non-coding RNA? --Manuscript Draft--

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<b>Abstract:</b>	<p>The characterization of small non-coding RNA (sncRNA) in food has become part of the field as a promising field of nutrigenomics. Milk contains sncRNA that are protected by extracellular vesicles which makes them resistant to digestive processes and possibly absorbable by the human gut. Due to the high conservation of sncRNA, these molecules might mediate inter-species gene expression regulations, opening numerous applications in the field of human nutrition. These include the modulation of sncRNA milk profile through diet, both in humans and dairy animals, livestock rearing methods, food technology, but also the production of infant formulas or the usage of sncRNA as biomarkers. SncRNA contained in milk might contribute to the elucidation of the long-term effects of milk consumption in the human diet, confirming the application of nutrigenomics in both health promotion and food production areas. The main aim of this mini-review is to introduce this aspect of nutrigenomics illustrating both promising aspects and pitfalls.</p>
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To Prof. Editors-in-Chief  
Jian Chen  
Jiangnan University, Wuxi, Jiangsu, China  
Joe Regenstein  
Cornell University, Ithaca, NY, United States  
Food Bioscience

Camerino, October 1<sup>st</sup>, 2020

Dear Editors,

we are pleased to resubmit the review article entitled ***“The neglected nutrigenomics of milk: what is the role of inter-species transfer of small non-coding RNAs?”*** by prof. Rosita Gabbianelli and me. We revised the manuscript according to the Editor’s requests. Thank you for your efforts in improving the quality of this manuscript.

Yours Sincerely,

Laura Bordoni, PhD



The authors reviewed the manuscript according to the Editor's suggestions.  
All the issues have been accomplished.

## 1 HIGHLIGHTS

- 2 - **SncRNA** are present in both plant- and animal-based **food**, especially in milk;
- 3 - Exogenous sncRNA might regulate immune- and development-related processes;
- 4 - Local effects and microbiome modulations are **the major focus of sncRNA research**;
- 5 - Environment (i.e., diet) and health status modulate the milk's sncRNA profile;
- 6 - SncRNA content might mediate nutrigenomic effects of milk in human nutrition.

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26 *Review*

27 **The neglected nutrigenomics of milk: What is the role of inter-**  
28 **species transfer of small non-coding RNA?**

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42 Running title: Nutrigenomics of dairy products: Focus on short non-coding RNA

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44

45 **ABSTRACT**

46 The characterization of small non-coding RNA (sncRNA) in food has become part of the  
47 field as a promising field of nutrigenomics. Milk contains sncRNA that are protected by  
48 extracellular vesicles which makes them resistant to digestive processes and possibly  
49 absorbable by the human gut. Due to the high conservation of sncRNA, these molecules  
50 might mediate inter-species gene expression regulations, opening numerous applications in  
51 the field of human nutrition. These include the modulation of sncRNA milk profile through  
52 diet, both in humans and dairy animals, livestock rearing methods, food technology, but also  
53 the production of infant formulas or the usage of sncRNA as biomarkers. SncRNA contained  
54 in milk might contribute to the elucidation of the long-term effects of milk consumption in  
55 the human diet, confirming the application of nutrigenomics in both health promotion and  
56 food production areas. The main aim of this mini-review is to introduce this aspect of  
57 nutrigenomics illustrating both promising aspects and pitfalls.

58 **Keywords:** nutrigenomics; epigenetics; milk; small non-coding RNA; nutrition; microbiome.

59

60 **List of abbreviations**

- |    |       |                        |
|----|-------|------------------------|
| 61 | DNMT  | DNA methyl transferase |
| 62 | EV    | extracellular vesicles |
| 63 | miRNA | micro RNA              |
| 64 | mRNA  | messenger RNA          |
| 65 | piRNA | PIWI-interacting RNA   |
| 66 | siRNA | short interfering RNA  |

67 sncRNA small non-coding RNA

68



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81

82 **1. Small non-coding RNA and gene regulation**

83 Small non-coding RNA (sncRNA) are untranslated transcripts (~21–34 nucleotides long) that  
84 regulate 40% to 60% of gene expression in humans. Several types of sncRNA have been  
85 described. MicroRNA (miRNA), endogenous short interfering RNA (endo-siRNA) and Piwi-  
86 interacting RNA (piRNA) are the most extensively studied. They differ in biogenesis, length,  
87 and mechanisms through which they accomplish their biological functions (Carvalho  
88 Barbosa, Calhoun & Wieden, 2020). The ability to downregulate gene expression in the  
89 cytoplasm by pairing with target mRNA, mediated by the assembly of an effector complex,  
90 i.e., RNA-induced silencing complex (RISC) (Bartel, 2004; Fabian & Sonenberg, 2012), is a

91 **function** of sncRNA (miRNA in particular), but it is not the only one. **They can also act**  
92 **inside the nucleus** (Sarshad et al., 2018), where they can post-transcriptionally regulate small  
93 and long non-coding RNA or even promote gene expression at the transcriptional level  
94 through mechanisms that have not been completely **elucidated** (Liu et al., 2018). Moreover,  
95 the specific subclass of piRNA can suppress the activities of transposable elements, regulate  
96 chromatin architecture to control genomic stability and modulate stability and translation of  
97 messenger RNA (Jodar & Anton, 2018). SncRNA have been found **not only** in the  
98 intracellular environment (as initially hypothesized) but also in biological fluids.  
99 Extracellular vesicles (**EV**), which are released **as** a means of intercellular communication,  
100 and fat globules have been shown to protect and carry sncRNA (van Herwijnen et al., 2018;  
101 Wolf, Baier & Zemleni, 2015), thus facilitating the long-range intercellular effects of these  
102 molecules (Ferrero et al., 2017; Turchinovich, Samatov, Tonevitsky & Burwinkel, 2013; Yeri  
103 et al., 2017). **However**, the presence of sncRNA in biological fluids is not exclusively  
104 associated with EV (Turchinovich, Weiz, Langheinze & Burwinkel, 2011). Biological fluids  
105 that contain sncRNA include blood, urine, saliva, cerebrospinal fluid, sperm, tears and milk  
106 (Ferrero et al., 2017; Izumi et al., 2012; Park et al., 2009; Weber et al., 2010; Yeri et al.,  
107 2017). Their unexpected resistance to degradation in the extracellular environment **led to**  
108 **sncRNA being considered** strong biomarker of health and disease, as well as **a** promising  
109 prognostic tools. **Alterations** in  sncRNA profile has been **shown** in numerous pathological  
110 conditions, not only in the measurement of tissue-specific expression patterns (de Almeida,  
111 Fraczek, Parker, Delneri & O'Keefe, 2016; Lekka & Hall, 2018), but also in the detection of  
112 peripheral sncRNA in body fluids (Gupta, Bang & Thum, 2010; Y. Jin et al., 2019; Mi,  
113 Zhang, Zhang & Huang, 2013; Redell, Moore, Ward, Hergenroeder & Dash, 2010; Roth et  
114 al., 2010; Santamaria-Martos et al., 2019).

115



116 **2. *Inter-species gene expression regulation through small non-coding RNA: Any***  
117 ***nutrigenomic effect?***

118 Nutrigenomics studies how food can modulate gene expression (Bordoni & Gabbianelli,  
119 2019). **This discipline** focuses on the role of macro- and micro-nutrients, bioactive  
120 compounds and dietary regimens in regulating gene expression and consequentially affecting  
121 the health status. In particular, nutri-epigenomics investigates the role of epigenetics in  
122 mediating the effects of food on gene expression. **The** term nutrimiromics has been coined to  
123 define the study of how nutrients and bioactive molecules (e.g., selenium, zinc, resveratrol,  
124 curcumin **and** quercetin) can modulate miRNA concentrations in the human body  
125 (Quintanilha, Reis, Duarte, Cozzolino & Rogero, 2017). While the ability of food to modulate  
126 endogenous sncRNA production has been extensively **shown**, the existence of food-derived  
127 sncRNA, that remain stable and can potentially be absorbed, **was shown by Yang, Hirschi**  
128 **and Farmer in 2015 and** it is still a discussed topic (Yang, Hirschi & Farmer, 2015).

129 Exogenous sncRNA have been found **in both** plant- and animal-derived **foods**. **The** mobility  
130 of sncRNA from one species to another is considered one of the main mechanisms for cross-  
131 talk between different organisms, even between species from different kingdoms (Choi, Um,  
132 Cho & Lee, 2017; Zeng et al., 2019). **While** the sequence of some miRNA is specific to a few  
133 plants or animal lineages, others are conserved in animals and plants (Ha, Pang, Agarwal &  
134 Chen, 2008; van Herwijnen et al., 2018). Since **foods contain** sncRNA that could potentially  
135 target human genes, it has been speculated whether an inter-species genomic regulation **by**  
136 sncRNA could exist (Li, Xu & Li, 2018; Liang et al., 2012; Zempleni, Baier, Howard & Cui,  
137 2015; Zhang, Chen, Yin, Zhang & Zhang, 2019; Zhao, Cong & Lukiw, 2018) and **have** a  
138 specific role in disease pathogenesis (Perge, Nagy, Decmann, Igaz & Igaz, 2017). However,  
139 **although** sequence conservation of miRNA and target genes may suggest conservation of  
140 expression patterns and functions, several questions remain to be addressed: the stability and

141 bioavailability of sncRNA **as a function** of the food matrix, the efficiency of their uptake in  
142 the gut system **and** the amount of xeno-microRNA needed for biological actions. Major  
143 concerns about the possibility that sncRNA could **have** significant biological effects in  
144 mammals have been raised (Dickinson et al., 2013; Snow, Hale, Isaacs, Baggish & Chan,  
145 2013; Witwer, McAlexander, Queen & Adams, 2013). On the other hand, some authors have  
146 described a significant bioavailability of both plants and animal-derived sncRNA (Baier,  
147 Nguyen, Xie, Wood & Zempleni, 2014; Benmoussa et al., 2020; Manca et al., 2018; Wu et  
148 al., 2019), suggesting that they might be absorbed in the intestine and transferred into the  
149 blood circulation (Liang et al., 2014; Liang et al., 2015; Yang, Farmer, Agyekum, Elbaz-  
150 Younes & Hirschi, 2015; Yang, Farmer, Agyekum & Hirschi, 2015; Zhao et al., 2018). **Izumi**  
151 **et al.** (2015) showed that miRNA from milk might be taken up by human intestinal cells  
152 (Liao, Du, Li & Lönnerdal, 2017) and macrophages (Izumi et al., 2015; Lässer et al., 2011).  
153 This **suggested** that certain types of food, beyond being a source of **macro- or micro-nutrients**,  
154 bioactive molecules, and energy, might **also** provide biologically active sncRNA. Although  
155 **the possibility of systemic effects is still open** (see paragraph 4 for more details), the exposure  
156 to exogenous sncRNA coming from food **has been reported** (Ledda, Ottaggio, Izzotti, Sukkar  
157 & Miele, 2020; **Li** et al., 2018; Sanchita, Trivedi, Asif & Trivedi, 2018; Vaucheret &  
158 Chupeau, 2012), and the possibility that they might exert significant biological effects in  
159 mammals **needs further study** (Asgari, 2017; Nguyen, 2020).



160 **Bacteria** could also produce miRNA-like molecules that could modulate the host's gene  
161 expression, as previously shown for sncRNA produced by viruses (Cardin & Borchert, 2017;  
162 Duval, Cossart & Lebreton, 2017; Kincaid & Sullivan, 2012; Shmaryahu, Carrasco &  
163 Valenzuela, 2014). **However**, only **limited** data is available on their ability to target human  
164 gene expression (Choi et al., 2017; Lee, 2019). **On the other hand, bacteria** manipulate the  
165 expression of various miRNA in the host to modulate cellular processes that favors their

166 survival and proliferation (Ahmed, Zheng & Liu, 2016; Duval et al., 2017). **Moreover**, it has  
167 been shown that fecal miRNA (including those deriving from food) can shape the gut  
168 microbiota, thus representing a potential future strategy for manipulating the human  
169 microbiome (Liu et al., 2016).

170

### 171 3. *sncRNA in milk*

172 Milk is one of the most important biological fluids, rich in macro- and micro-nutrients but  
173 also bioactive compounds, like antimicrobial molecules, growth factors, immune cells and  
174 antibodies. Moreover, milk is a rich source **of all types of** sncRNA (Martin, 2017; Testroet et  
175 al., 2018; Weber et al., 2010), which are stable **with** degradative conditions (Izumi et al.,  
176 2012; Zempleni et al., 2016) and *in vitro* digestion (Benmoussa et al., 2016; Rani, Vashisht,  
177 et al., 2017). Their stability is favored by the presence in the emulsion of EV that protect  
178 sncRNA from enzymatic degradation and facilitates their uptake by endocytosis (Baier et al.,  
179 2014; Pathan et al., 2019; Tomé-Carneiro et al., 2018; Zhou et al., 2012). Probably for this  
180 reason, milk is the biological fluid that contains the highest level of sncRNA relative to its  
181 volume, and these sncRNA are stable **with** acidic conditions, resistant to RNase and **to**  
182 **degradation with freeze-thaw** cycles (Golan-Gerstl et al., 2017; Pieters et al., 2015; Rani,  
183 Yenuganti, Shandilya, Onteru & Singh, 2017; Weber et al., 2010).

184 Despite numerous inter-species **differences**  **on sncRNA**  profile have been measured, analysis  
185 of miRNA in milk from different species **showed** that some microRNA are persistently  
186 abundant and overlap **between** human and other mammal's milk. Benmoussa and Provost  
187 (2019) have provided a complete overview of the miRNA characterized in previous studies  
188 and identified the top 10 microRNA found in human, cow or goat milks. The existence of  
189 these recurrent "milk miRNA" **suggests** a conserved **evolutionary** process that leads to the

190 release of specific microRNA in milk, maybe because of potentially conserved functions in  
191 lactation and, possibly, for the newborn's development and health (Kosaka, Izumi, Sekine &  
192 Ochiya, 2010; Stephen et al., 2020; van Herwijnen et al., 2018; Zemleni et al., 2016). It has  
193 also been speculated that milk-derived sncRNA may be involved in the "epigenetic priming"  
194 of the newborn (Perge et al., 2017). Since the digestive tract of infants is far less developed  
195 and has less harsh conditions (lower acidity and lower enzymatic activity), it allows immune  
196 cells and, other cells in milk, to survive and settle within the infant's digestive tract wall (Le  
197 Huërou-Luron, Blat & Boudry, 2010; Mirza et al., 2019). Thus, it has been hypothesized that  
198 these specific conditions might lead to the transfer of dietary microRNA through milk EV to  
199 infants (Izumi et al., 2012; Kosaka et al., 2010; Zhou et al., 2012), having a role in regulating  
200 their development. Carney et al. (2017) showed that the miRNA profile of breast milk from  
201 mothers of premature infants differs from that of mothers of term infants, suggesting that  
202 premature delivery might stimulate the secretion of a milk with a microRNA profile that may  
203 have adaptive functions for growth in premature infants (Carney et al., 2017).

204 The discovery of sncRNA in milk has raised the question about what is the function of these  
205 regulatory elements in this biological fluid. Numerous miRNA released in milk originate  
206 from epithelial cells (mammary gland cells), but the identification of abundant immunity-  
207 related microRNA suggested that they can also be released in milk from immune cells. The  
208 presence of miRNA in colostrum (Van Hese, Goossens, Vandaele & Opsomer, 2020)  
209 suggested a potential role of miRNA as important regulators of both immune- and  
210 development-related processes (Alsaweed, Lai, Hartmann, Geddes & Kakulas, 2016a; Carney  
211 et al., 2017; Kosaka et al., 2010; Q. Zhou et al., 2012). MiRNA can regulate B- and T-cell  
212 differentiation and affect interleukin production of macrophages, and their role in modulating  
213 inflammation has been documented (Rebane & Akdis, 2013). Bovine milk EV and associated  
214 miRNA have been shown to be bioavailable and to distribute among murine tissues,

215 accumulating in particular in the liver and, to a lesser extent, in the spleen of mice (Manca et  
216 al., 2018), supporting the possibility of systemic effects induced by exogenous sncRNA.

217 Among milk miRNA, miR-148a is **one** of the best studied. Its sequence is highly conserved  
218 (it is identical between humans and cows), and it regulates DNMT1 and DNMT3 expression,  
219 thus affecting epigenetic homeostasis of DNA methylation. MiR-148a has been **shown** to  
220 have a role in the molecular mechanisms of oncogenesis (Li, Deng, Zeng & Peng, 2016).  
221 Both positive and negative associations with cancer have been proposed for miR-148a: some  
222 authors addressed it as a risk factor (Melnik & Schmitz, 2019), while others suggested that it  
223 might exert a protective effect against cancer in infants (Golan-Gerstl et al., 2017). **MiR-148a**  
224 may regulate food intake and adipogenesis (Melnik & Schmitz, 2017) and it could also affect  
225 the development of the nervous system (Li et al., 2016). Another miRNA highly expressed  
226 (particularly in cow's milk) and **having** biologically relevant functions is miR-21-5p. This  
227 miRNA regulates cell growth and proliferation (Kumarswamy, Volkman & Thum, 2011),  
228 and it has been defined as an oncomiR (Feng & Tsao, 2016). Another miRNA typical of  
229 **cow's milk** and with high homology to the human sequence is miR-30d. This miRNA targets  
230 the 3'-UTR of TP53 (**an oncosuppressor gene**) to down-regulate the tumor suppressor p53  
231 protein levels, thus **bringing** into question the potential beneficial effects of milk in the long-  
232 term (Melnik, 2017; Melnik & Schmitz, 2019). These are just **a** few examples aimed to focus  
233 on **the heterogeneous** sncRNA profile in milk, which is rich **in** miRNA that can have both  
234 positive and negative effects on health (Svoronos, Engelman & Slack, 2016). **MiRNA**  
235 deregulation is **typically found in** cancer, with oncomiRs that are overexpressed, while tumor  
236 suppressive miRNA are underexpressed in cancer cells. If it is confirmed that milk miRNA  
237 can enter both normal and tumor cells and affect their biological functions (Golan-Gerstl et  
238 al., 2017), studies on sncRNA profiling might help to **determine** additional molecular  
239 mechanisms through which potentially harmful effects of milk consumption might be

240 mediated. **Considering** that the **long term** safety of milk consumption is still debated due to its  
241 potential implication on cancer promotion (Fraser et al., 2020; Jeyaraman et al., 2019; Lu et  
242 al., 2016). **Therefore**, investigating the role of exogenous sncRNA might give further **insights**  
243 (Pirim & Dogan, 2020). To better understand which pathways could be modulated by  
244 miRNA contained in milk, several authors (Benmoussa & Provost, 2019; Golan-Gerstl et al.,  
245 2017) extensively reviewed the **implications** for human health of the most conserved miRNA  
246 **in** milk, considering also their bioavailability and bioaccessibility.

247 **While** an extensive characterization of **the milk** miRNA profile has been defined, little is  
248 known about which endogenous siRNA and piRNA are present in milk. Considering that  
249 endogenous siRNA and piRNA not only modulate gene expression but also affect genome  
250 stability, **more research is needed**. This topic also warrants attention considering that **EV** have  
251 been explored as nanodevices for the development of new therapeutic applications, and milk  
252 **EV** may be viable natural nano-carriers for the delivery of miRNA- and siRNA-based drugs  
253 (Aqil et al., 2019; Arntz et al., 2015). **Since the interest towards these technologies is**  
254 **increasing** (Chakraborty, Sharma, Sharma, Doss & Lee, 2017; Galley & Besner, 2020; Gorji-  
255 Bahri, Hashemi & Moghimi, 2018), **their application in different therapies might also benefit**  
256 **from more research**.

257

#### 258 **4. Concerns on *the effects of exogenous sncRNA exposure***

259 Important discrepancies on the biological effects of these exogenous sncRNA sources in  
260 humans **have been identified** in the scientific literature (Li et al., 2018; Zhang et al., 2019). A  
261 large part of the scientific community recommends caution in drawing definite conclusions  
262 because of potential fallacies. **Issues include** contamination, technical artifacts and  
263 confirmation **bias** (Fromm, Tosar, Lu, Halushka & Witwer, 2018; Heintz-Buschart et al.,

264 2018; Kang et al., 2017; Witwer, 2018; Yang, Hirschi, et al., 2015). Different methods and  
265 their specific limitations have probably led to the discrepancies. The sensitivity of the  
266 methods and the proper use of internal and external controls may also affect the reliability of  
267 the results. The role of confounders in plasma miRNA analysis has also been raised by Wang  
268 et al. (2018). The existence of significant similarities between bovine and human miRNA  
269 sequences is believed to be responsible for false positive results in the detection of dietary  
270 miRNA in human blood. Indeed, the existence of systemic effects of sncRNA is still argued.  
271 Important issues in replicating the evidence to confirm the presence of high levels of  
272 circulating miRNA in blood after milk consumption have been raised (Auerbach, Vyas, Li,  
273 Halushka & Witwer, 2016). While some studies reported that exogenous miRNA are active  
274 in the recipient organisms (Zhang et al., 2012), and regulate gene expression at distant organ  
275 sites, subsequent studies have been unable to confirm an active role of diet-derived miRNA  
276 in mammalian circulation or tissues (Auerbach et al., 2016; Kirchner, Buschmann, Paul &  
277 Pfaffl, 2020; Title, Denzler & Stoffel, 2015; Title, Denzler & Stoffel, 2015; Witwer, 2014;  
278 Witwer & Zhang, 2017; Zempleni, Baier & Hirschi, 2015). The hypothesis that a weaker or  
279 absent gut barrier (i.e., at early stages of development or in gut disorders) could facilitate the  
280 passage of RNA molecules has been recently investigated in animal models. Kirchner et al.  
281 (2020) confirmed that a transfer of protein from maternal milk to the child's circulation exists  
282 before gut closure, but they were not able to show an increased transfer of RNA molecules  
283 with the same conditions in calves. An increased transfer of RNA was not measured in the  
284 presence of increased gut permeability in mice (Yang, Elbaz-Younes, Primo, Murungi &  
285 Hirschi, 2018).

286 The hypothesis of a systemic role of milk sncRNA in systemic circulation remains to be  
287 studied (Fritz et al., 2016; Wang et al., 2018). However, their presence in food and  
288 consequentially in the gut, should be considered for the potential local effects. Indeed, food

289 derived miRNA have been detected in feces and gastrointestinal mucosa (Link et al., 2019).  
290 Since miRNA play a major role in determining intestinal cell fate (Dalmaso et al., 2010),  
291 their uptake from colonocytes (Liao et al., 2017) and macrophages (Lässer et al., 2011) might  
292 exert significant effects on gut and intestinal immune systems that **needs** further  
293 investigations. For example, it has been **shown** that milk exosome and miRNA depletion  
294 exacerbates cecal inflammation in an animal model (Wu et al., 2019).

295 **A** substantial portion of EV in milk seems to escape absorption and enter the large intestine,  
296 and given the previously described interplay between host and bacteria by sncRNA, it is  
297 **likely** that sncRNA contained in milk may also modulate the human microbiome. Indeed,  
298 plant-derived exosomal microRNA have been shown to modulate the microbiome (Teng et  
299 al., 2018), and alterations of the gut microbiota were measured **after** oral administration of  
300 bovine milk-derived EV in mice, whose intestinal immunity was enhanced by the treatment  
301 (Tong et al., 2020). The ability of miRNA to modulate the microbiome has been shown for  
302 miRNA contained in feces independently **of** their origin. **Oral** administration of synthetic  
303 miRNA **affects** specific bacteria in the gut (Liu et al., 2016). Similarly, **plant-derived**  
304 **exosome-like nanoparticles that contain RNA were taken up by the gut microbiota, whose**  
305 **composition was altered** (Huang, Pham, Davis, Yu & Wang, 2020; Teng et al., 2018; Zhou,  
306 Paz, Sadri, Fernando & Zempleni, 2019). **It** has also been shown that miRNA in human milk  
307 differed between mothers supplemented with probiotics instead of placebo (Simpson et al.,  
308 2015). **These results suggested** that sncRNA contained in food may be used to manipulate the  
309 microbiome.

310 **The possibility of modulating** the sncRNA profile contained in food (including milk) opens  
311 the way to interesting future perspectives for the development of functional foods that might  
312 be active **because** of their optimized sncRNA content.



313

314 **5. Implications of milk sncRNA intake for human nutrition and future perspectives**

315

316 **5.1 Modulating the sncRNA profile: From diet to food technology**

317 SncRNA expression pattern in milk is influenced by several factors, such as maternal  
318 nutrition and environmental exposures (Chen et al., 2017; Wang et al., 2016). **The** fat content  
319 of the maternal diet appears to have a major effect on **miRNA's expression** in milk and in the  
320 neonate (Van Hese et al., 2020). Since plant miRNA have been **detected** in human breast milk  
321 (Lukasik, Brzozowska, Zielenkiewicz & Zielenkiewicz, 2017), maternal plant intake might  
322 **impact** breast milk's sncRNA profile. **Not** only diet but also pathological conditions of the  
323 mother might modulate the sncRNA profile of their milk. For example, breast milk-derived  
324 **EV** from mothers with type 1 diabetes **show** aberrant levels of miRNA (Mirza et al., 2019).  
325 Since sncRNA of maternal milk might play a role in **the development of the newborn's**  
326 immune system by shaping its microbiome (Le Doare, Holder, Bassett & Pannaraj, 2018), the  
327 modulation of **sncRNA** induced by diseases or dietary regimens during lactation should be  
328 taken into account. **The** immunological state of the mammary gland seems to affect miRNA  
329 expression as well. This is true in humans, where alterations of sncRNA in milk **have** been  
330 suggested as a biomarker of different pathologies (Ferrero et al., 2017; Kelleher et al., 2019;  
331 Rebane & Akdis, 2013), but also in cows diagnosed with subclinical mastitis (Duval et al.,  
332 2017; Sun et al., 2015), **that show alterations of their milk's miRNA profile.**

333 Since the **sncRNA** profile in milk is complex, to achieve an optimized composition in terms  
334 of these regulatory elements is an ambitious goal. **Despite** the presence of several negative  
335 effects induced by some **sncRNA**, the complete removal of these molecules in milk might not  
336 be the best solution (Golan-Gerstl et al., 2017; Wu et al., 2019). Ideally, the selective

337 removal/enrichment of some **sncRNA** in milk might be the answer, but it still represents a  
338 future prospective (Gessner et al., 2019; Nguyen, 2020). Here the authors review the  
339 **mechanisms** that, currently or in the future, could be potentially applied to optimize **sncRNA**  
340 profile in milk (Figure 1).

341 A **strategy** to modulate the sncRNA profile could be the application of some processes  
342 **regularly used** in food technology to guarantee the microbiological safety of milk. Although  
343 **a limited** loss of sncRNA during storage has been **shown** (Howard et al., 2015; Mizumi et al.,  
344 2012), raw milk and its fat derivatives are **rich** in miRNA (Melnik & Schmitz, 2017). **This is**  
345 **likely due** to the high stability of **EV** at low pH, after boiling and after multiple freeze-  
346 thawing cycles (Pieters et al., 2015). Pasteurization (**78°C**) and homogenization of milk **have**  
347 a minor effect **on** the miRNA **profile** (Golan-Gerstl et al., 2017). **This** treatment does not  
348 affect the recovery of miR-148a, **which has been detected** at high level in pasteurized,  
349 homogenized, and skim milk fractions. On the contrary, boiling (100°C) and ultra-heat  
350 treatment (130°C) of milk significantly decrease the levels of milk miRNA. **It** has been  
351 shown that **EV** are significantly reduced in fermented milk (Yu, Zhao, Sun & Li, 2017). **A**  
352 reduction in miR-29b and miR-21 was measured after fermentation, suggesting that a general  
353 loss of sncRNA is likely to occur after this process. This evidence suggests that fermented  
354 milk products, such as yoghurt, might exert different miRNA-dependent effects on human  
355 health in comparison to pasteurized milk. Only one study **investigated** sncRNA in cheese (Oh  
356 et al., 2017), concluding that it was not possible to correlate sncRNA profile with microbial  
357 communities present in the product. **Replication** studies in different kinds of samples are  
358 warranted to clarify **the role of sncRNA** in cheese manufacturing. **The** majority of studies  
359 analyzed a few candidate miRNA after different technological treatments, but did not provide  
360 a complete **sncRNA** profiling; thus, further studies on a complete sncRNA profiling in  
361 different dairy products are warranted.

362 Different rearing conditions of lactating animals represent other potential factors that affect  
363 the milk's sncRNA profile. A different physiological status of the dairy cattle can modulate  
364 the levels of miRNA secreted in milk. For example, the levels of lactogenic hormones (i.e.,  
365 prolactin) affect cellular and extracellular miR-148a expression in bovine epithelial breast  
366 cells (Muroya et al., 2016). Increased miR-148a expression (which is associated with a  
367 decreased DNMT1 s expression) is considered an important hallmark of high performance  
368 dairy cows, that may secrete more miR-148a into their milk compared to regular cows.  
369 MiRNA profile is also altered by infections, such as mastitis induced by *Staphylococcus*  
370 *aureus* or *Escherichia coli* pathogens (Cai et al., 2018; W. Jin et al., 2014; Sun et al., 2015).  
371 Thus, miR-142-5p and miR-223 have been suggested as biomarkers for the early detection of  
372 bacterial infections in the mammary gland. Different miRNA profiles were detected in dairy  
373 cows fed with high- and low-quality forages (Wang et al., 2016). A modulation of miRNA  
374 profile was detected in cows exposed to dietary supplementation with 5% linseed or  
375 safflower oil, suggesting that miRNA implicated in lipid metabolism are differentially  
376 regulated (Li et al., 2015). A high-fat diet during lactation was able to alter milk's miRNA  
377 profile in mice (Chen et al., 2017). The replacement of forage fiber with non-forage fiber  
378 sources in dairy cow's diets changed the expression of milk's miRNA (Quan et al., 2020).  
379 This evidence suggests that milk composition (including sncRNA profile) is responsive to  
380 dietary manipulation and to animal rearing conditions, with direct implications for dairy  
381 production. Moreover, sncRNA profiling might represent, in the future, a tool to monitor the  
382 health and physiological status of dairy livestock. Finally, considering the effects of sncRNA  
383 on bacteria strains, different sncRNA profile might impact also fermentation and dairy  
384 production.

385

386 5.2 SncRNA in children's formulas

387 Although breastfeeding is highly recommended, it may not always be possible. Thus, infant  
388 formulas represent an industrially produced substitute for infant consumption that attempts to  
389 mimic the nutritional composition of breast milk as closely as possible (Martin, Ling &  
390 Blackburn, 2016). Most formulas are based on cow's milk or soymilk and characterizing the  
391 levels of sncRNA expression in these products might help to improve the nutritional  
392 adequacy of these foods (Stephen et al., 2020). The assessment of miR-148a-3p, one of the  
393 most highly expressed miRNA in milk, showed significantly lower levels of this miRNA in  
394 infant formula compared to human milk (Chen et al., 2010; Golan-Gerstl et al., 2017). This  
395 preliminary data suggests that the total amount of sncRNA is depleted in infant formulas, that  
396 lack this archaic epigenetic regulatory signaling system. This might impact the early  
397 metabolic programming and the immune system development in the newborns who cannot  
398 benefit from the maternal lactation during early life. An extensive characterization of  
399 maternal milk could help to identify the most abundant sncRNA and their functions, with the  
400 future aim to optimize the profile of these regulatory molecules. However, this is an  
401 ambitious and complex challenge. SncRNA' concentration in milk might change during  
402 lactation, like other nutrients, according to the infant's needs (Carney et al., 2017; Lukasik et  
403 al., 2017). This implies that the sncRNA profiling should be performed in different periods of  
404 lactation and that supplementation should be consequentially time-dependent. Moreover, the  
405 addition of synthetic miRNA in formulas might not have the same effect in newborns as  
406 miRNA naturally present in milk. Indeed, transient transfection of chemically synthesized  
407 miRNA showed different behaviors than endogenous miRNA, suggesting that special caution  
408 must be taken (Jin et al., 2015). As suggested by Golan-Gerstl et al (2017), miRNA could be  
409 isolated from animal sources, since about 90% of miRNA found in human milk are also  
410 present in cow's and goat's milk; however, a wider characterization of the effects of sncRNA

411 in different periods of human life is required before that companies could considered the  
412 possibility to use them as a supplement (Nguyen, 2020).


413

### 414 5.3 Milk sncRNA as biomarkers

415 Molecular targets that could be used to exactly measure the amount of consumed food are  
416 wanted and metabolomics is a proliferative research field. The usage of sncRNA as  
417 biomarkers of food intake has been suggested, but some concerns have been raised (Witwer  
418 & Zhang, 2017). Firstly, a useful marker of intake reflects both the identity and the dose of  
419 the source material; conversely, the sequence conservation of miRNA is incompatible with  
420 discrimination of specific food sources. Moreover, there are still some uncertainties about the  
421 linear correlation between miRNA abundance in the source material and their dietary  
422 absorption (Yang, Hirschi, et al., 2015). These concerns actually originated from studies  
423 investigating plants' miRNA, but the same doubts can be extended to animal derived  
424 sncRNA. Considering that low level of miRNA are present in body fluids, a thorough  
425 sequencing, with consequential high costs, would be necessary to accomplish this goal with  
426 confidence. For all these reasons, additional studies and technical implementations are  
427 needed to define a practical usage of sncRNA as biomarkers of food intake. Since it has been  
428 shown that sncRNA profile varies depending on environmental stimuli and infections, these  
429 sncRNA might help to identify unwanted environmental exposures, status of illness in cows  
430 (Ma, Tong, Ibeagha-Awemu & Zhao, 2019) or diseases in women (Kelleher et al., 2019).  
431 This aspect represents a stimulating future prospective for this research field both in human  
432 and veterinary medicine.

433

### 434 5.4 SncRNA profiles in plant-based beverages used as milk substitutes

435 A growing number of people are eliminating milk from their diet because of several side  
436 effects, first among others, lactose intolerance. The usage of “plant-based milks” such as  
437 beverages based on soy, rice, **oat and coconut** is spreading. Since the presence of plant-  
438 derived miRNA in human breast milk **has been shown**, the different sncRNA profiles  
439 between animal-derived and plant-based milk might be **a further aspect to be considered in**  
440 **human nutrition. Treatment** with plant’s sncRNA has been demonstrated to systemically  
441 reduce inflammation and prevent symptoms of multiple sclerosis in an experimental  
442 autoimmune encephalomyelitis (EAE) mouse model (Cavalieri et al., 2016). **This suggests**  
443 that exogenous sncRNA might significantly contribute to health promotion. Due to their  
444 presence in breast milk, **plant-derived** molecules might have an impact not only in humans  
445 that are directly fed with them but also in their progeny (Lukasik et al., 2017). **Since there** are  
446 no data on the stability of sncRNA in plant-based milks  to date, **further** investigations are  
447 necessary. Finally, since both bovine and plant infant formulae are produced (Tzifi,  
448 Grammeniatis & Papadopoulos, 2014), research on milk’s sncRNA might find further  
449 applications in child nutrition, where sncRNA are likely to contribute to infant protection and  
450 development (Alsaweed, Lai, Hartmann, Geddes & Kakulas, 2016b). **Measuring** the effects  
451 **of milk and plant based formulas** (also in relation to their sncRNA content) on the gut  
452 microflora could be of particular interest.

453

## 454 **6. Conclusions**

455 **The** characterization of sncRNA in food is an emerging research field of nutrigenomics.  
456 While a certain body of evidence is available for **miRNA**, few investigations have been done  
457 on siRNA or piRNA in food. Since not only gene expression regulation but also genomic  
458 stability is affected by sncRNA, further studies able to provide a complete profile of sncRNA  
459 in food are **necessary**. Since sncRNA are resistant to digestive processes, **exogenous** sncRNA

460 contained in food **could** be absorbed by the human gut. While systemic effects are still  
461 debated, it appears likely that they can affect the gut and the resident's microbiome. **These**  
462 bioactive molecules **could contribute to the impact of food** on gene expression regulation and  
463 **their** impact on human health. **These gene regulation pathways** represent a bridge between  
464 different animal species, and **between** the animal and the plant kingdoms. However, a  
465 scientific consensus on this topic is still **missing**. **Publication** bias (e.g., avoiding publishing  
466 negative results), might contribute to these uncertainties. Clarifying the biological effects of  
467 **sncRNA** contained in milk could **provide** a complete overview on the effects of milk  
468 consumption in human diet, since milk is a good source of nutrients but the **full** safety  
469 (concerning complex environmentally-based diseases) of its intake in the long term is still  
470 discussed (Fraser et al., 2020; Jeyaraman et al., 2019; Lu et al., 2016). For this reason,  
471 **additional studies on this topic are warranted**. These would help to clarify the **whole** picture  
472 and **to identify** practical applications of this research **field, that** range from food technology,  
473 to animal rearing or infant formulas production. **These applications are directed towards an**  
474 **optimized molecular nutrition, promoting the role of molecular biology (and nutrigenomics in**  
475 **particular) beyond basic research.**

476

#### 477 **Conflicts of interest**

478 The authors declare **no conflicts of** interests.

479

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482

483 **Contributions**

484 LB wrote the manuscript, RG revised and supervised the work. All authors have approved the  
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972 **Figure legends**

973 **Figure 1. Exogenous sncRNA can be conveyed by milk and exert inter-species effects in**  
974 **humans.** SncRNA are contained in milk produced by dairy cattle, whose rearing conditions  
975 can modulate the final profile. Different food technologies can modulate the presence of  
976 sncRNA in milk that is used in human nutrition. SncRNA are also present in maternal milk,  
977 while their content seems to be depleted in infant formulas. The exposure to different profiles  
978 of exogenous sncRNA could contribute to the heterogeneous nutrigenomic effects exerted by  
979 milk and derivatives, and consequentially impact human health. Current knowledge of  
980 possible nutrigenomic effects induced by exogenous sncRNA is represented in the bottom  
981 right of the figure (+ likely to occur; - unlikely to occur/limited evidence).

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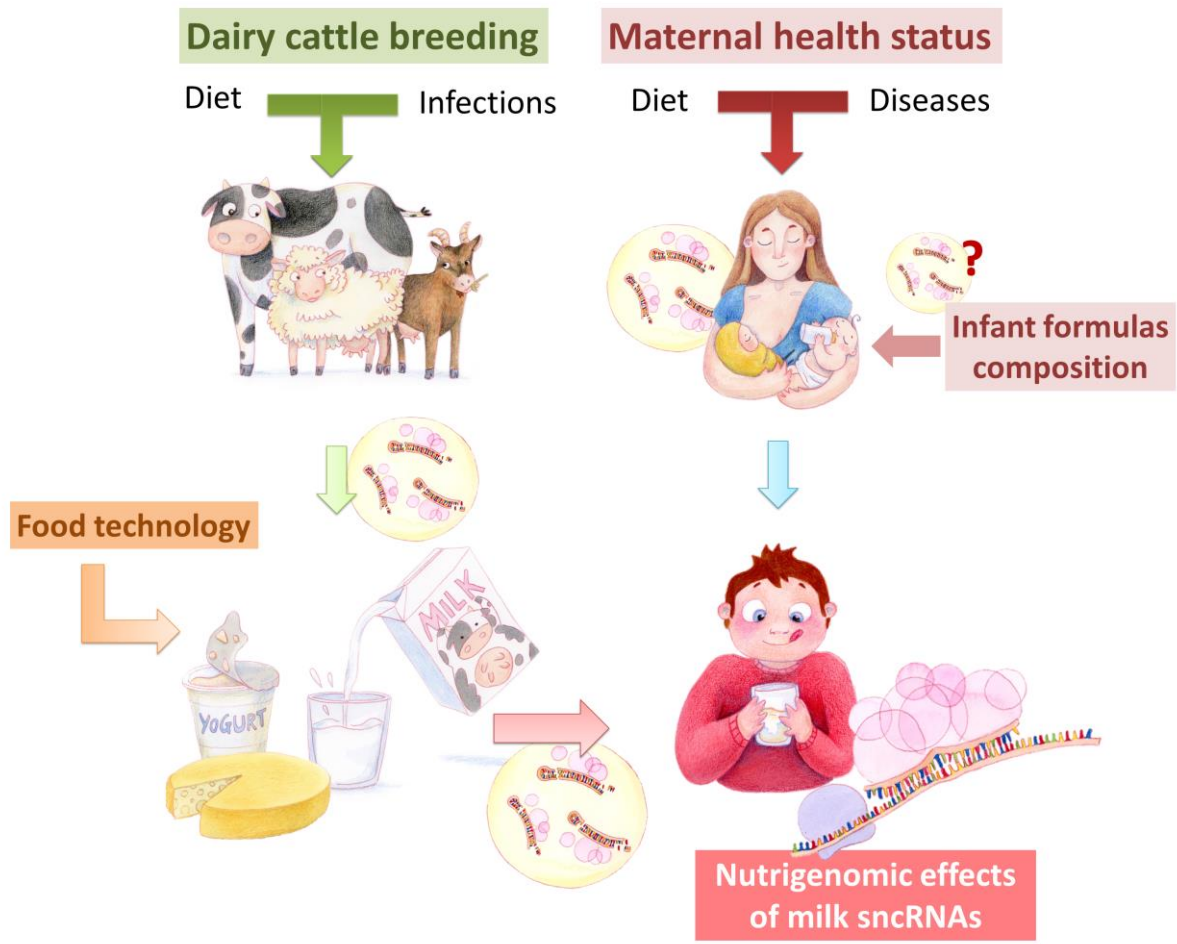
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996 **Figures**

997 Figure 1.



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**Competing interests**

The authors declare no competing interests

Laura Bordoni, PhD

A handwritten signature in blue ink that reads "Laura Bordoni". The signature is written in a cursive style with a vertical line separating the first and last names.

## Author Agreement Statement

The authors declare that this manuscript is original, has not been published before and is not currently being considered for publication elsewhere.

The authors confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed.

The authors further confirm that the order of authors listed in the manuscript has been approved by all of us.

The authors understand that the Corresponding Author is the sole contact for the Editorial process. He/she is responsible for communicating with the other authors about progress, submissions of revisions and final approval of proofs

Signed by all authors as follows:

Dr. Laura Bordoni

A handwritten signature in blue ink that reads "Laura Bordoni".

Prof. Rosita Gabbianelli

A handwritten signature in black ink that reads "Rosita Gabbianelli".