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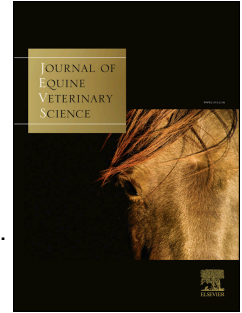


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1 **Original article**4 **Diagnosis of XX/XY blood cell chimerism at low percentage in horse.**

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44 Abstract

45 Disorders of sexual development (DSDs) are not uncommon in horses and cause economic loss
46 in horse breeding. Thus it is important to develop methods for unambiguous and fast
47 identification of affected horses shortly after birth, as well those who may propagate the
48 condition to the next generation. Genetic causes of DSDs are multivariuous and still little known,
49 thus development of diagnostic tests requires accumulating knowledge about individual cases
50 and their aetiologies. In particular it is necessary to perform clinical, ultrasound, surgical,
51 histological, cytogenetic and genetic analyses with close attention in all the affected individuals.
52 This report describe the case of a XX/XY chimeric horse with reproductive apparatus
53 abnormalities and a very low percentage of XY cell in blood highlighting that to avoid
54 undiagnosed case of cell chimeras, above all when studying DSD cases, it is essential to perform
55 both genetic and cytogenetic analyses possibly on more than one tissue.

56

57 **Keywords:** horse; chimerism; DSD.

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68 1. Introduction

69 Reproduction and fertility are important concerns in horse breeding and early
70 identification of horses with congenital conditions that may lead to reproductive problems will
71 bring a big benefit to horse industry.

72 Even though cytogenetic and molecular tools have been developed for this purpose, most of
73 horses carrying disorders of sexual development (DSDs) are identified when they have already
74 grown-up, causing economic loss to the breeders and in most cases molecular causes remain
75 unknown [1,2,3,4]. This is because of the limited knowledge about the molecular mechanisms
76 regulating early development and sexual differentiation.

77 DSDs are among the main causes of horse subfertility or sterility. A variety of phenotypes are
78 associated with this condition ranging from a phenotypically normal mare with gonadal
79 dysgenesis to a horse with ambiguous external genitalia and internal male and female organs [5].
80 In horses 4 types of DSDs have been diagnosed up to now: 1) Sex chromosome abnormalities
81 (63,X; 64,XX/64,XY; 65,XXX; 65,XXY; etc.); 2) 64,XX *SRY*-negative with DSD; 3) 64,XY
82 *SRY*-positive with DSD; 4) 64,XY *SRY*-negative. In horses XX, *SRY*-positive DSD has never
83 been reported, probably because the *SRY* gene is located far from the pseudoautosomal region
84 thus it is less susceptible to meiotic errors between the sex chromosomes compared to, for
85 example, humans where *SRY* translocation to the X chromosome can occasionally occur [6].

86 XX/XY chimerism is classified as a chromosome abnormality, it has been diagnosed in the main
87 livestock species and in humans. It is caused either by the exchange of haematopoietic stem cells
88 through placental circulation between dizygotic twins (blood chimaerism) or by the fusion of two
89 zygotes or embryos into a single individual at the very early stages of development (true

90 chimaerism) [7,8]. Phenotypic and physiological effects due to this condition are very variable
91 and depend on both the causes and the affected species.

92 XX/XY chimerism has been rarely diagnosed in horses this is either because twin pregnancy (the
93 main origin of chimeras) causes serious economic loss as a result of a high rate of abortion and a
94 tendency for poor postnatal development in the few foals that survive to term; thus it is an
95 unwanted condition normally terminated once detected [9, 10]. However, large scale DNA
96 profiling or cytogenetic survey of horse populations [11, 12] suggests that the available clinical
97 data underestimate the actual prevalence of these cases.

98 From a scientific point of view a procedure able to detect chimaeras rapidly and early and to
99 differentiate those caused by placental vascular anastomosis in a twin pregnancy rather than an
100 early fusion of two zygotes or embryos would be very useful. In fact, the different phenotypes
101 due to chimaerism, and mainly those XX/XY, are a useful starting point for the understanding of
102 the mechanism of sexual differentiation in mammals, but for this purpose it is necessary to
103 correctly identify affected animals as early as possible in their lifetime so that the development
104 of the reproductive apparatus can be followed during all the growth phases allowing to
105 accumulate new knowledge. Moreover it is necessary to establish the cause of the chimaerism, in
106 twin pregnancy with placental anastomosis between the twins one of them may miscarry without
107 breeder's knowledge.

108 Vascular connections between placentas of heterosexual twins cause in ruminants the so called
109 free-martin syndrome [7, 13] in which the female twin is sterile due to malformations of the
110 reproductive apparatus while in equine blood chimeric heterosexual twins are both
111 phenotypically and physiologically healthy and fertile [9, 14]. This difference is probably due to

112 the fact that placental vascular connections responsible for free-martin syndrome in ruminants
113 and other species occurs after the sexual differentiation of the equine [14].

114 A different condition is found when chimerism is due to the fusion of two zygotes or embryos. In
115 this last case the phenotype may be normal or ambiguous genitalia may be observed [15].

116 This report describes the diagnosis of the first case of a 64,XX/64,XY chimeric horse, showing a
117 reproductive apparatus in which only male reproductive structures have been developed, with the
118 aim to highlight the need of both cytogenetic and genetic analyses in all animals in which a
119 correct genetic evaluation is required (clinical and DSDs cases, breeders).

120

121 **2. Material and Methods**

122 *2.1 Case*

123 A 15 months old Italian Saddlebred horse, registered as filly, was submitted to clinical
124 evaluations due to abnormal conformation of external genitalia (Fig. 1) and stallion-like
125 behavior. On physical examination the horse showed a small penis of 11 cm in length in the
126 ventral perineal region without scrotum and an underdeveloped mammary gland (Fig. 1).
127 Urination occurred through a urethral fossa at the distal end of the penis. Transrectal
128 ultrasonography did not allow to visualize internal genitalia. Castration (closed technique)
129 (Supplementary Fig. 1) with primary wound closure was carried out using an inguinal approach.
130 The horse was treated with an intramuscular dose of acepromazine (0.05 mg/kg), and 20 min
131 later was intravenous administered detomidine (20 µg/kg) and butorphanol (0.02 mg/kg) mixed
132 in the same syringe. Anesthesia was induced with intravenous administration of diazepam (0.05
133 mg/kg) and ketamine (2.2 mg/kg) intravenous administered. After orotracheal intubation,
134 anaesthesia was maintained with isoflurane vaporised in oxygen and delivered via a large animal

135 circle system. Two symmetrical hypoplastic testis-like structures were found in inguinal rings
136 (Supplementary Fig. 2), removed and processed for histological and genetic evaluation. Blood
137 samples were collected to perform cytogenetic and genetic analyses.

138

139 *2.2 Histopathologic analyses*

140 Pieces of testis like structures samples were fixed in buffered neutral formalin, embedded
141 in paraffin, and sectioned at 3µm for histopathology and immunohistochemistry (IHC). Serial
142 sections were stained with haematoxylin and eosin (HE). For immunohistochemical analysis,
143 sections were mounted on Superfrost®UltraPlus slides and an avidin–biotin–peroxidase-complex
144 (ABC) technique with diaminobenzidine as the chromogen was performed to evaluate the
145 expression of Anti-Mullerian hormone (AMH) or Mullerian inhibiting substance (MIS) using a
146 monoclonal antibody (clone B-11, Santa Cruz Biotechnology, USA) specific for an epitope
147 mapping between amino acids 535-560 at the C-terminus of MIS of human origin. Appropriate
148 negative and positive controls included samples of adult normal horse testis and sections
149 pretreated with blocking peptide were used.

150

151 *2.3 Cytogenetic Analyses*

152 Blood lymphocytes were cultured in RPMI medium with Pokeweed for about 72h at
153 37.5°C. Two types of cultures, with and without 5-BrdU (20ug/ml), were set up. 5-BrdU and
154 H33258 (40ug/ml) were added to the latter 3.5h before harvesting. Colcemid was added 1h
155 before harvesting to all cultures and after a hypotonic treatment with 0.075M KCl and three
156 fixations with Carnoy's fixative cell suspensions were used to prepare slides that were allowed to
157 dry and then stained for C- and R-banding or used for FISH-mapping. 84, 400 and 30

158 metaphases were examined from slides with Giemsa staining, treated for C- and R-banding
159 techniques respectively. Karyotypes were arranged according to the Horse standard karyotype
160 [16]. Probes used for FISH experiments were as follows: horse Y-specific BAC clone 147K8
161 from CHORI-241 library (<https://bacpacresources.org/>) and horse X-specific BACs 102C09 and
162 111A23 from INRA library [17]. BACs were grown overnight at 37 °C in Luria Broth (LB)
163 supplemented with chloramphenicol (12,5µg/ml) then BAC DNA was isolated according to
164 standard protocols described by CHORI (<http://bacpac.chori.org/>). For each FISH experiment
165 about 250-300 ng of DNA was labeled with biotin by nick translation (Roche Diagnostic kit) or
166 Cy3 (Amersham, Little Chalfont, UK). Biotin-labeled DNA was detected by use of FITC-
167 conjugated avidin (Vector Laboratories, Burlingame, CA) as a green signal; direct Cy3 was
168 detected as a red signal. The probes and the slides were co-denatured on a hot plate at 75 °C for 4
169 min. Hybridization was performed in a moist chamber at 37 °C overnight. The chromosomes
170 were identified by means of simultaneous 4',6'-diaminido-2-phenylindole dihydrochloride
171 (DAPI) staining. The digital images were obtained by use of a Leica DMR epifluorescence
172 microscope (Leica Imaging Systems, Cambridge, UK) equipped with a CCD camera (Cohu, San
173 Diego, CA), and the FITC-avidin, Cy3, and DAPI fluorescence signals were detected with
174 specific filters. The images were recorded, pseudo-colored, and merged by use of QFISH
175 software (Leica Imaging Systems). Moreover 500 metaphases and nucleus were analyzed.
176 Finally, chromosomes were counterstained with DAPI in Vectashield mounting medium (Vector
177 Lab) antifade solution and more over than 500 metaphases and nucleus were analyzed using
178 CytoVision® (Leica Biosystems) software.

179

180 *2.4 Molecular Analyses*

181 DNA was extracted from whole blood with Wizard® Genomic DNA purification kit
182 (Promega), and from the testis-like structures with Genelute mammalian Genomic DNA
183 Extraction kit (Sigma). The DNA extracted from blood was tested by qualitative PCR using
184 primers specific for *SRY*, *ZFY/ZFX* and *EIF* (Table 1). Being all the primers specific for Y
185 regions seems to work less in the investigated horse than in normal male control, PCRs with
186 different number of amplification cycles (from 25 to 35) were performed using the primers
187 *SRYQ* and *HPRT* (as control) (see Table 1 for sequences). PCRs were performed as
188 recommended by the Taq enzyme supplier (AmpliTaq Promega) using as start material DNA
189 obtained from blood. The same primers were used to perform a Q-RT-PCR with SYBR®Green
190 (Invitrogen 11733-038) on DNA extracted from blood and from the testis-like structures to
191 evaluate the percentage of XY cells in the clinical case and in a normal, fertile control stallion.
192 The same DNA (from blood and testis-like tissue) was used for genotyping on a panel of 17
193 microsatellites according to International Society of Animal Genetics (ISAG) guidelines at the
194 laboratory UnireLab srl to establish if the horse was a chimera or a mosaic.

195

196 **3. Results**

197 *3.1 Histopathologic analyses*

198 Both of the testes were composed of low number of small and hypocellular seminiferous
199 tubules that lacked germ cells and spermatozoa and were lined by Sertoli cells, often with frothy,
200 vacuolated apical cytoplasm (Fig. 2a). Sertoli cells extended from the undulating basement
201 membrane and protruded into the lumen. The interstitial tissue, separating the tubules, was
202 apparently increased due to the reduced number of tubules and was composed by well-developed
203 fibrovascular stroma with embedded many plump oval fibroblast, various macrophages

204 containing abundant, globular, intracytoplasmic, goldenbrown pigment (lipochrome) and few
205 interstitial cells that had small round nuclei and eosinophilic, foamy cytoplasm. The histological
206 findings observed were consistent with severe testicular hypoplasia and Leydig cell atrophy.
207 Sertoli cells showed a diffuse and intense cytoplasmic immunolabeling for AMH (Fig. 2b).

208

209 *3.2 Cytogenetic findings and FISH analyses*

210 The analysis of 84 routinely Giemsa stained karyotypes (without banding), showed only
211 one male (XY) metaphase (1.19%) (Fig. 3). The analysis of 400 C-banded metaphases revealed
212 only one XY metaphase (0.25%) (Fig. 4a) while no XY cells were detected among R-banded
213 metaphases. Karyotyping of an R-banded XX metaphase did not show abnormalities (Fig. 4b),
214 however no information was obtained about the presence or absence of chromosome aberrations
215 for R-banded XY cells. The presence of both the XX and XY cells in blood lymphocytes was
216 further confirmed by FISH with horse Y-specific BAC 147K08 and X specific-BACs 102C09
217 and 111A23. Analysis of 450 interphase nuclei identified only 4 XY cells (0.8%), while no XY
218 metaphases were observed in this analysis (Fig. 5)

219

220 *3.3 Molecular analyses*

221 Analysis by PCR with Y-specific markers confirmed the presence of the Y chromosome,
222 though at a low percentage in the case compared to a normal male control. Figure 6 illustrates
223 qRT-PCR results for the SRY gene, which amplification was analyzed at different cycles. In the
224 clinical case SRY amplification product becomes visible only at cycle 33, clearly indicating the
225 low content of this gene in the case compared to the male control. Q-RT-PCR also allowed to
226 quantify the amount of XY cells in the case. The Ct values for the SRY were: 29.15 and 23.28 for

227 the case and the control male respectively, whereas the respective Ct values for the autosomal
228 *HPRT* gene were 21.91 and 23.22. Using the delta-delta Ct method; we calculated the percent of
229 XY cells at 0.68%. These results confirm the low level of blood XX/XY chimerism. The
230 amplification profiles are shown in the Supplementary Fig. 3. The same analyses were performed
231 on testis derived DNA and revealed 13% of XY cells thus almost 20 times more than that
232 observed in blood.

233 Microsatellite genotyping in blood DNA showed the presence of one or two alleles per each
234 marker. However, the same analysis in testis-derived revealed the presence of three alleles for
235 the microsatellites ASB2, ASB23, CA425, HMS23, HMS6, HMS7, LEX003 indicating that the
236 horse was a chimera, likely originating from the fusion of two zygotes or embryos (see
237 Supplementary Fig. 4).

238

239 4. Discussion

240 Reproductive apparatus abnormalities observed in a 15 month old horse led to deepen the
241 clinical case by performing clinical, ultrasound, surgical, histological, cytogenetic and genetic
242 analyses with close attention.

243 Anatomical and histopathological findings of this horse indicate that during embryo development
244 the pathway of formation of the male genital apparatus has been correctly activated. This has led
245 to testes formation and to their migration in inguinal canals. However the genital tubercle has
246 developed in the direction of male external genitalia without reaching a complete and proper
247 conformation. The observed diffuse expression of AMH within Sertoli cells is similar to a
248 previous study where a positive immunostaining of AMH was found in intersex gonad and
249 cryptorchid testis [18] and comply with the absence of Mullerian derivatives. This can be due to

250 post-zygotic fusion of two distinct embryos rather than an early anastomosis between the
251 vascular systems of twins (one of which has then be reabsorbed). In this latter case, in fact,
252 typically no abnormalities of the reproductive organs are observed in either twins because when
253 vascular anastomosis are formed sexual differentiation is already undergone [5, 9, 19].
254 Conversely and in contrast with previously reported cases [11, 20, 21], the present case shows no
255 derivatives from female reproductive organs while male organs are almost completely
256 developed.

257 This phenotype may be due to the prevalence of XX cells over XY cells during critical stages of
258 sex determination and sexual differentiation, so that even though the Y chromosome initiates the
259 SRY-pathway, the low amount of XY cells gene products may not be sufficient for proper and
260 complete male development. On the other hand, the percentage of different cellular clones found
261 in the blood of an animal does not allow to trace back the growth trend of all different cellular
262 clones during embryo development. FISH experiments on metaphases and interphase
263 chromosome confirmed the chimeric condition at a very low level. To our knowledge, this is the
264 first case of a chimeric horse where such a low percentage of XY cells in the blood (0,68%) is
265 associated with the total absence of female structures. Genome wide microsatellites genotyping
266 performed on DNA from blood failed to reveal the presence of two cellular clones due to the low
267 percentage of XY cells. Instead the same analysis performed on DNA from gonadal tissue
268 revealed the presence of more than 2 alleles for some markers suggesting that this 64,XX/64,XY
269 horse is a chimera likely derived from post zygotic fusion of two distinct embryos (tetragametic
270 chimera) [15]. This finding shows that when microsatellites genotyping is performed alone in a
271 tissue with a very low percentage (<1%) of a particular cell clone chimerism may remain
272 undiagnosed and eventually discovered only when the affected animal is old enough to show

273 reproductive problems. Routinely Giemsa stained karyotypes (without banding) and CBA
274 techniques seem to be more sensitives, thus indicating the need to always carry out them in a
275 correct genetic evaluation of a livestock animal or of a clinical case. Moreover early
276 identification of individuals with cell chimerism will allow the improvement of the knowledge
277 about reproductive organs development in particular of molecular mechanism underlying this
278 biological event.

279

280 **5. Conclusion**

281 SRY PCR positivity with 64,XX normal karyotype found in a 15 month-old horse with
282 abnormalities of reproductive apparatus led to deepen Giemsa stained (without banding)
283 karyotyping and C-banding test allowing to diagnose XX/XY chimaerism, subsequently
284 microsatellite genotyping on DNA from gonadal tissue allowed to classify the case as a
285 tetragametic chimaera. To date there are very few reports of XX/XY horse chimeras [11, 20, 21]
286 with malformed genital apparatus, and this is the first one with a very low percentage (<1%) of
287 XY cells in the blood and the complete regression of Mullerian ducts in favor of the development
288 of a male reproductive structures showing that also in horse as already observed in other species
289 [13, 15] the proportion of XX/XY cells in the blood does not correlate with the development of
290 reproductive organs.

291

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356

357 **Conflict of interest:** None of the authors has any conflict of interest to declare.

358 **Ethical statement:** No experimental animals have been used for this work.

359

360

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364

365 **Figure Legend**

366 **Fig. 1:** a) 15 month-old Italian Saddlebred horse with DSD. b) Perineal region of the horse. A =
367 anus; R = raphe, U = urethral opening. c) Inguinal region of the horse in dorsal recumbency
368 showing the penis (P) and, d) two well developed teats and, the subcutaneous position of the
369 testes.

370

371 **Fig. 2:** a) Section of the hypoplastic testicles showing small seminiferous tubules lined by a
372 single layer of Sertoli cells (H.E. x10). b) Immunohistochemical stain showing diffuse intense
373 AMH expression of Sertoli cells within seminiferous tubules (IHC, counterstaining with
374 haematoxylin, x 20).

375

376 **Fig. 3:** A male metaphase and the corresponding karyogram of the Italian Saddlebred filly.

377

378 **Fig. 4:** a) C-banded metaphase plate with $2n=64;XY$ and, b) R-banded karyotype with
379 $2n=64;XX$ of the Italian Saddlebred horse with ambiguous genitalia.

380

381 **Fig. 5:** FISH experiments on nuclei and metaphases of the filly. a-b) XY and XX nuclei as
382 revealed by FISH with Y-specific BAC 147K08 (red signal) and X-specific BAC 111A23 (green
383 signal). c) XX metaphase showing signals by X-specific BAC 102C09 (red signal). d) XY
384 nucleus showing signals by Y-BAC 147K08 (green signal) and X-BAC 102C09 (red signal).

385

386 **Fig. 6:** PCR amplification of a portion of the *SRY* and *HPRT* genes at different cycles. M= 100
387 bp marker; XY= normal male; Ho: DSD Horse; XX= normal female; H₂O= water. The number
388 reported the amplification cycles performed.

389

390 **Supplementary Fig. 1:** Castration (closed technique) with primary wound closure was carried
391 out using an inguinal approach.

392

393 **Supplementary Fig. 2:** Abnormal hypoplastic testes found in the horse.

394

395 **Supplementary Fig. 3:** Amplification plot of the Q-RT-PCR. XX/XY= DSD Horse; XY=
396 Normal control male.

397

398 **Supplementary Fig. 4:** Microsatellite electropherograms obtained from LEX003 markers
399 acquired from testis derived tissue (a) and blood (b) DNA of the analysed horse.

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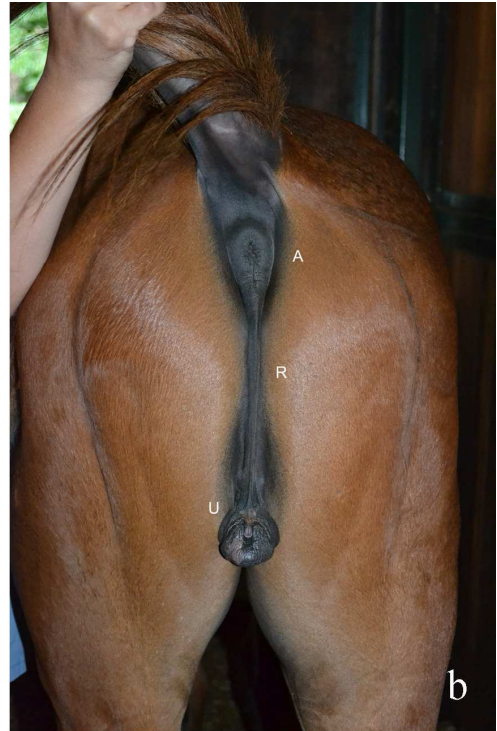
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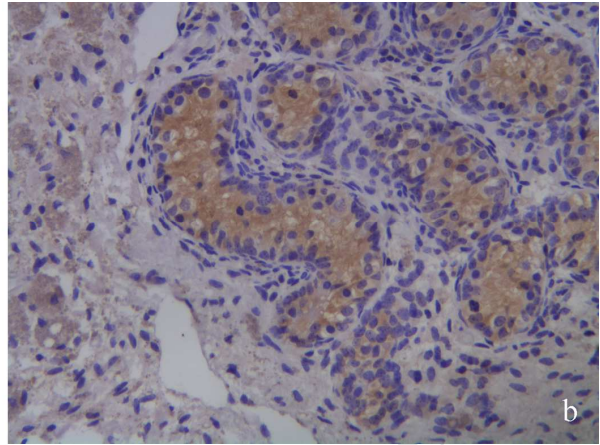
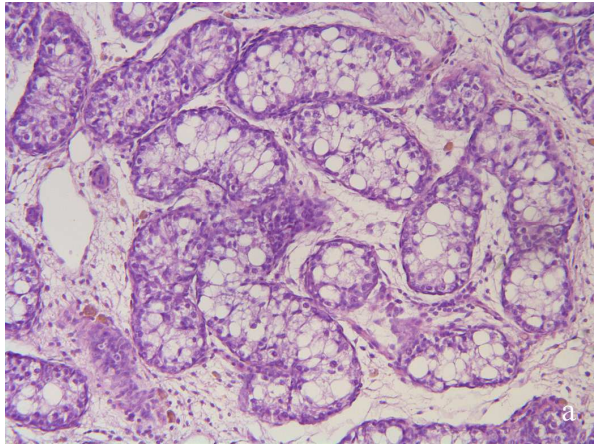
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407 **Table 1:** Primers sequences, Annealing temperatures and product lengths of the examined genes
 408
 409

Gene	Primer name	Primer sequence	annealing	length
SRY ^[22]	SRY-F	TGC TAT GTC CAG AGT ATC CAA CA	58	697bp
	SRY-R	TGA GAA AGT CCG GAG GGT AA		
ZFX/Y ^[22]	ZFX/Y-F	AAA TCA AAA CCT TCA TGC CAA T	58	Y 553bp; X 604bp
	ZFX/Y-R	TTC CGG TTT TCA ATT CCA TC		
EIF2s3Y ^[23]	EIF2s3Y_F	GAGCCATCTGTGTGATCGTC	58	223
	EIF2s3Y_R	TATTCCTGGCCCTAAGCACA		
ZFY ^[23]	ZFY_F	TGAGCTATGCTGACAAAAGGTG	58	186
	ZFY_R	TCTTCCCTTGTCTTGCTTGA		
SRYQ	SRYQ-F	ACAGTCACAAACGGGAGGAG	58	149
	SRYQ-R	AAAGGGAACGTCTGCGTATG		
HPRT	HPRT-F	GAGGCCATCACATTGTAGCA	58	381
	HPRT-R	TCCCCACAGCAATTCTTACA		

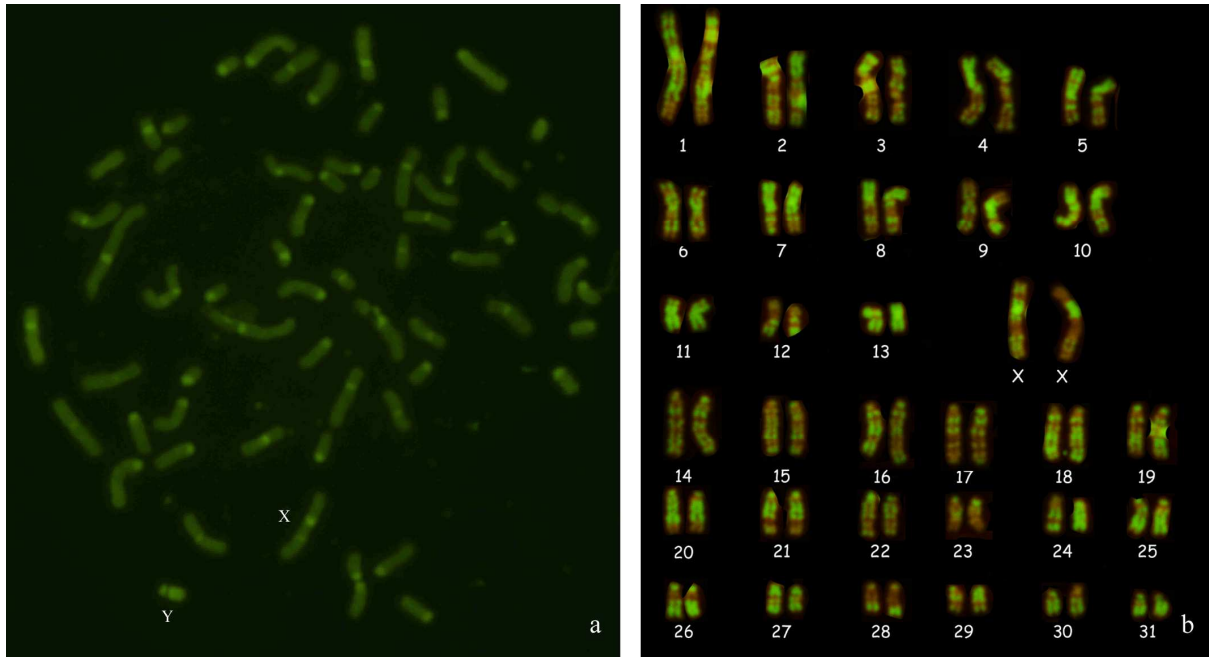
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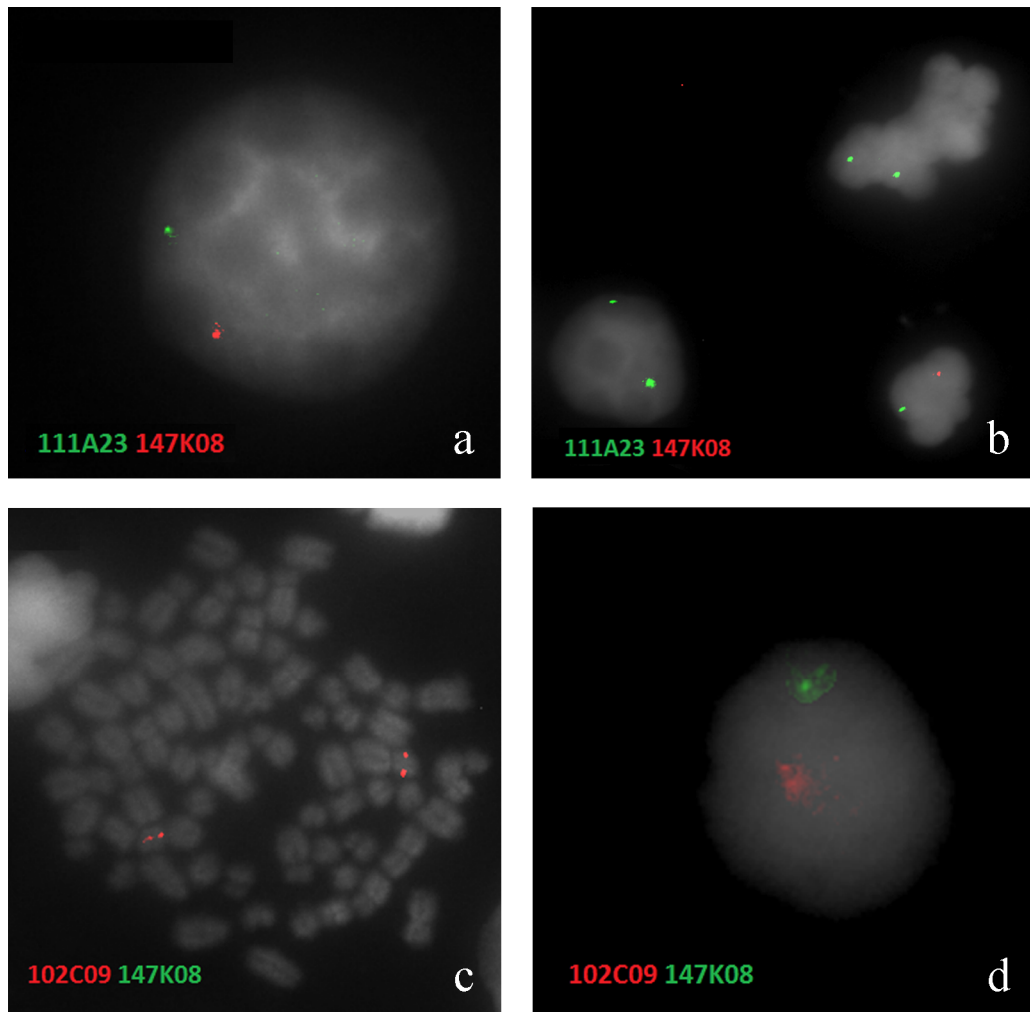


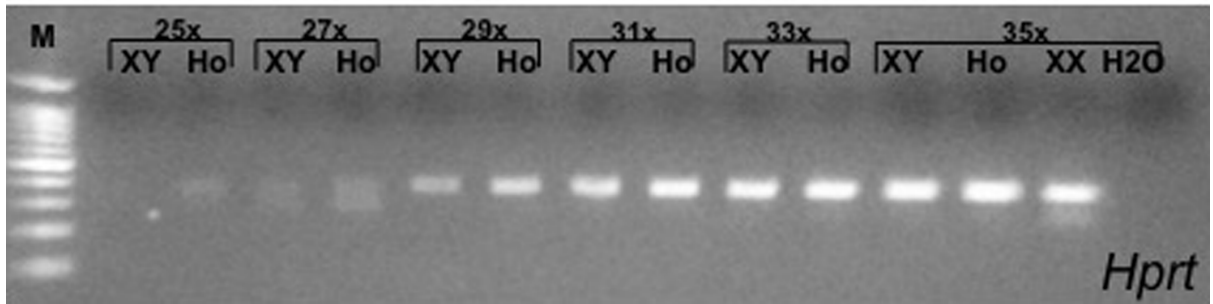
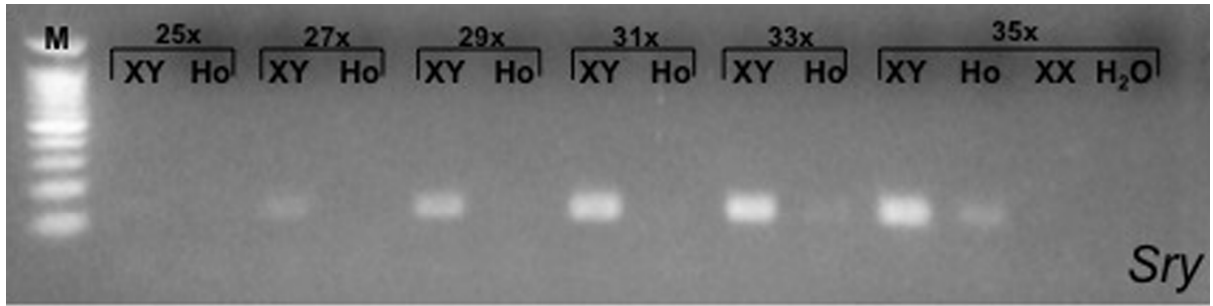


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Highlights

- DSDs are still a main cause of economic loss in horse breeding.
- Genetic and cytogenetic analyses on various tissues are essential in horse DSDs.
- DNA profiling on blood alone is not enough to detect all cases of cell chimerism.

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We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

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